

Birmingham & Solihull Cluster Public Board

<b>Date:</b>	27th September 2012
<b>Title</b>	Corporate Business Report to 31 <sup>st</sup> August 2012
<b>Presented by:</b>	Rachel Hardy/Diane Reeves
<b>Prepared by:</b>	Finance, Delivery & HR teams

For Decision  For Information  Time required to present report 15 mins  
 Please tick as appropriate

PURPOSE & BACKGROUND	
<b>Purpose</b>	The report provides an update on the financial, performance and workforce position of the Cluster for 2012/13.
<b>Key Points</b>	<ul style="list-style-type: none"> <li>• The key points to note are:</li> <li>• The forecast year end position has improved by £8.5m. The overall Cluster forecast position is in line with the £4m control total target.</li> <li>• The majority of the unidentified QIPP gap of £16m remains to be closed on a recurrent basis however.</li> <li>• The QIPP position for 2012/13 shows a forecast £26m shortfall against the target of £58m, based on current assumptions.</li> <li>• A work programme has been agreed through the CCN to improve delivery assurance of CCG QIPP plans and manage QIPP delivery through the transition.</li> <li>• The Cluster continues to perform strongly against the key standards for 18 weeks referral to treatment and cancer.</li> <li>• Areas which remain of concern in terms of performance are A&amp;E waits, the number of patients waiting more than 6 weeks for diagnostic tests, ambulance turnaround and general levels of elective activity.</li> </ul>
<b>Background Papers</b>	The report is a monthly update to the Board on the financial position and progress with QIPP and Performance targets. For 2012/13 workforce information is included.
<b>Prior Committee Approval</b>	The Finance & Performance Committee will review the paper on 24 <sup>th</sup> September 2012.
<b>Resources</b>	Delivery of QIPP savings. Human resources.
<b>Quality &amp; Patient Experience</b>	Delivery of quality services for patients meeting national standards.
<b>Consultation &amp; Engagement</b>	Not applicable
<b>Equality</b>	Not applicable
<b>Legal</b>	Meeting PCT Statutory Financial Duties; Requirement to meet NHS Constitution standards.

<b>Who will deliver service post 2013?</b>	Clinical Commissioning Groups / NHS Commissioning Board		
<b>CLUSTER OBJECTIVES</b>			
<b>Cluster Objectives this report relates</b>	Delivery (1-3)		√
	Transition (4-6)		
	Transformation (7-9)		
	Engagement & Leadership (10-12)		
	<i>Please tick as appropriate</i>		
<b>Approved by Executive Director</b>	<b>17/09/12</b>	<b>Approved by Committee Chair</b>	<b>24/09/12</b>
<b>RECOMMENDATIONS</b>			
<p>The Birmingham &amp; Solihull Cluster Board is asked to: -</p> <ol style="list-style-type: none"> <li>1. note the year end forecast financial outturn;</li> <li>2. note the financial status against each of the QIPP savings targets and the delivery status of each Account;</li> <li>3. note the performance of the Cluster against Operating Framework and local targets.</li> <li>4. note the latest workforce information.</li> </ol>			

## **Birmingham and Solihull Cluster**

### **Corporate Business Report to 31st Aug 2012**

**September 2012**

# Contents

## Section 1 Introduction

## Section 2 2012/13 Summary Financial Position

## Section 3 Revenue Expenditure

- 3.1 Cluster position
- 3.2 Risks
- 3.3 PCT Positions
- 3.4 Progress with QIPP Savings
- 3.5 CCG Position

## Section 4 Capital Expenditure

## Section 5 Statement of Financial Position

## Section 6 QIPP

## Section 7 Performance

## Section 8 Workforce

## Section 9 Conclusion and Recommendations

## Appendices

Summary	Overall Cluster Financial Position	<u>Issued to:</u> Board and F&P Committee
1a-d	Revenue Expenditure by PCT	Board and F&P Committee
2	Provider Activity and Cost – Cluster position	Board and F&P Committee
3a-e	Revenue Expenditure by CCG	Board and F&P Committee
4	Achievement of QIPP Savings	Internal Reporting
5	Financial Risk Summary	F&P Committee only
6	GP Consortia Position	Internal Reporting only
7	Capital Expenditure – Cluster Summary	F&P Committee only
8	Statement of Financial Position – Cluster Summary	F&P Committee only
9	Working Capital Indicators – Cluster Summary	F&P Committee only
10	Performance Dashboard	Board and F&P Committee
11	QIPP Reporting Dashboard	Board and F&P Committee
12	18 Weeks RTT Performance	Board and F&P Committee
13a-c	Workforce Statistics	F&P Committee only

27<sup>th</sup> September 2012

Corporate Business Report to 31<sup>st</sup> August 2012

**Section 1: Purpose of Report**

1. The Corporate Business Report provides an integrated report comprising finance, QIPP, Performance and Workforce across the Cluster. It provides Board members with an overarching view of business performance in addition to a more detailed analysis of the individual elements. During 2012/13 the report will continue to focus on the overall Cluster position, and that of the constituent PCTs that remain the statutory bodies. In addition it will increasingly be developed to report and focus on the performance of the new organisations that will take over responsibility from April 2013.

**Section 2: Overview of 2012/13 Financial Position**

2. The financial position for 2012/13 remains challenging, with a requirement to achieve QIPP savings of £58m, 2.4% of the overall Cluster budget. A control total surplus of £4m has been agreed with the SHA.
3. The overall anticipated resources available to the Cluster total £2,399m. Table 1 provides a breakdown of changes to funding in the past month:

<b>Table 1. Revenue Resource Limits 2012/13</b>	<b>BEN £m</b>	<b>HoB £m</b>	<b>Solihull £m</b>	<b>South £m</b>	<b>Cluster £m</b>
<b>Resource Limit Previous Month</b>	782	594	356	666	2,399
Rebasing exercise	8	(6)		(2)	0
FNP and womens strategy	0	0	0	0.5	0.5
<b>Latest Anticipated Resource Limit</b>	<b>790</b>	<b>588</b>	<b>356</b>	<b>665</b>	<b>2,399</b>

4. Although the overall level of resources available to the Cluster has not changed significantly, a number of transfers between PCTs have been made. These resource moves are matched by budget and contract changes in order to implement rebasing exercises that have been undertaken in recent months. Additional resources have been received to fund family nurse fractioned and women's' strategy schemes.
5. Table 2 sets out the latest summary delegation of Cluster budgets to CCGs.

<b>Table 2. CCG Budgets</b>	<b>BCCC £000</b>	<b>BSC £000</b>	<b>NEB £000</b>	<b>SOL £000</b>	<b>SWB £000</b>	<b>TOTAL £000</b>
Resource Limit	983.4	422.8	226.4	377.8	389.0	<b>2,399.4</b>
Budget	981.8	422.1	226.0	377.2	388.3	<b>2,395.4</b>
Planned Surplus	<b>1.6</b>	<b>0.7</b>	<b>0.4</b>	<b>0.6</b>	<b>0.7</b>	<b>4.0</b>

6. The budget agreed for 2012/13 includes a challenging QIPP savings requirement of £58m, of which £16m was unidentified at the point of establishing budgets. CCGs have taken ownership of the QIPP programme and continue working to develop new schemes to close the gap. A small number of additional CCG schemes have been approved, however the gap remains largely unfilled.
7. The Cluster faces a range of risks to achievement of its control total surplus, and these are set out in section 3. The risk of delivery of the higher risk QIPP schemes has been noted in previous reports, and the current forecast QIPP savings reflect this risk.
8. For month 5 reports, the financial position is based on the first three months activity and prescribing data, supplemented by first cut month 4 activity data.
9. The year to date position is set out in Table 3, and shows that overall the Cluster is £1.2m ahead of the year to date surplus target of £1.7m.

Table 3. Key Performance Indicator	Measure	2012/13	YTD (M5)	(Deficit)/	YTD (M4)	
		YTD Target	Actual	Surplus	Actual	
		£000	£000	£000	Status	
In-year financial position (revenue)	Achievement of surplus of £4m (SHA control total)	<b>BEN</b>	417	223	(194)	
		<b>HoB</b>	417	520	103	
		<b>Solihull</b>	417	776	359	
		<b>South</b>	417	1,360	943	
		<b>Cluster</b>	<b>1,667</b>	<b>2,879</b>	<b>1,212</b>	
					(255)	
					611	
					121	
					218	
					695	

10. The favourable year to date position is mainly due to the contingency sum set against contractual overperformance and release of non recurrent reserves. In addition, there are year to date underspends across a range of budget areas, including community contracts, learning disability, primary care and prescribing. There are however continuing and growing pressures against certain acute contracts – in particular UHB and Sandwell & West Birmingham as previously noted.
11. At month 5, year to date savings against the QIPP plan have been assessed at £11.2m against a plan of £22.9m, with a forecast achievement of £32m against the target of £58m. Section 6 of the report provides a detailed update on progress with QIPP schemes.
12. The overall forecast year end position for the Cluster has improved significantly, and is now in line with the £4m control total target. This is a further improvement of £8.5m from the month 4 anticipated outturn, and the assumptions underpinning the improved forecast are set out in the following section.

<b>Table 3a. Key Performance Indicator</b>	<b>Measure</b>		<b>2012/13 Target £000</b>	<b>Year End Forecast £000</b>	<b>(Deficit)/ Surplus £000</b>	<b>Month 4 Forecast £000</b>
Forecast financial position (revenue)	Achievement of surplus of £4m (SHA control total)	<b>BEN</b>	1,000	206	(794)	(4,866)
		<b>HoB</b>	1,000	(607)	(1,607)	(3,428)
		<b>Solihull</b>	1,000	918	(82)	(345)
		<b>South</b>	1,000	3,460	2,459	47
		<b>Cluster</b>	<b>4,000</b>	<b>3,977</b>	<b>(24)</b>	<b>(8,592)</b>

### Section 3: Revenue Expenditure

#### (3.1) Overall Cluster Position

13. The Cluster outturn position, and a breakdown by PCT and CCG, is set out in Appendices 1 and 3. Previous reports have highlighted a number of key assumptions that underpin the forecast deficit. The latest assumptions on these key factors are:
- That the contingency sum of £24m will be required in full in 2012/13, as previously, however current forecast overperformance on acute, mental health and learning disability budgets are now offset against the contingency sum. It is assumed that all overperformance will be manageable within this sum.
  - That the majority of the currently unidentified QIPP gap of £16m is not identified in this financial year, as per previous reports, and that new schemes identified will help offset the delivery risk around high risk QIPP plans rather than reduce the £16m gap.
  - That corporate budgets will overspend due to the currently unidentified QIPP target, but that the level of overspend will be reduced significantly due to the current MARS process.
  - That there will be a year end surplus on complex care budgets – although this is a volatile area and this position could change over the coming months.
14. In terms of acute contracts activity, the Cluster now has three months full data and draft July information, and this is continuing to show pressures on the acute budgets. In particular, the UHB contract continues to overperform, with activity rising further above plan in A&E, elective day case and in particular in outpatient areas. In addition, a higher than expected growth in high cost drugs is adding to the financial pressure. The result is an increased year to date overspend of £2.6m, and a forecast overspend of £6.8m, which is significantly worse than that reported at month 4. It should be noted that planned QIPP savings were deducted from the startpoint contract, mainly in emergency admissions and outpatients, and therefore the overspend is likely to be due at least in part to QIPP schemes not yet delivering in line with plan.
15. Pressures are continuing at Sandwell & West Birmingham NHST, with a year to date overspend of £0.5m. The pressures mainly relate to HoB PCT, and are in elements outside the risk share agreement – including non electives on a best practice tariff

and a range of other specialties including obstetrics and high cost drugs. The current year end forecast is an overspend of £2m, although the high level of referrals being experienced may result in further pressures in year.

16. The year to date and forecast position for Heart of England FT are broadly break even due principally to the risk sharing agreement in place, however underlying activity is significantly above the contracted level. For the year to date this amounts to around £4m across the Cluster.
17. Specialised services are reporting overspends against the Clusters elements of their contracts. These are not, however, being reflected in full in the Cluster position as the expectation is that the majority of the pressures will be absorbed within their overall budgets and reserves.
18. The overall forecast position on community services remains favourable, with an increase in the underspend forecast against complex care, and the in year savings against reablement and carers' schemes noted last month, and an anticipated underspend against the main Birmingham Community Healthcare contract.
19. The contract with Birmingham & Solihull Mental Health FT continues to face pressures from non acute inpatient rehab activity, and across the Cluster an overspend of £1.1m is expected. The additional cost can be covered from within the overall mental health budgets in the current year.
20. A forecast overspend was reported across primary care budgets at month 3. The position has further improved in August, due to an increase in the expected underperformance against dental contracts, and delays due to slippage in new premises developments. The overall forecast is now for a small surplus of £0.5m.
21. Prescribing costs for April to June are below budget, with costs in June being particularly low. The prescription pricing department (DPD) begins to produce forecasts from June, and taken in conjunction with internal Cluster forecasts, it is likely that there will be an overall underspend by year end. At this stage a prudent view of the expected underspend (£1.1m) has been included, due to the historic volatility of prescribing costs.
22. The forecast outturn on corporate costs has been reduced due to the anticipated impact of leavers under MARS. There were 123 applications, and it is forecast that a significant number of these staff will leave in October, saving five months pay costs. The cost of termination payments will be met from the provision established for this purpose in 2011/12. The corporate forecast also takes account of the additional cost of moving to the new Shared Business Services financial system in October.



23. Contract overperformance is offset against the performance contingency that is held within reserves. At month 5 the total being offset is £11.6m, which means that almost half the contingency of £24m is now committed.
24. Within budgets funded by the 2% non recurrent reserve, there has been further slippage on the Frail and Elderly schemes, and a further number of small reserves have been released, which have both contributed to the improved financial position.

### **(3.2) Financial Risks**

25. There are significant risks that the Cluster and CCGs must manage if the control total surplus is to be achieved. Appendix 5 sets out the key risks and mitigations.
26. The key risk relates to the deliverability of QIPP schemes in 2012/13. Work is ongoing to identify schemes to cover the initial £16m gap, and to cover the high risk elements such as corporate costs. CCGs have taken ownership of the QIPP gap and further new schemes have recently been agreed which are helping to offset shortfalls against the high risk areas.
27. The 2% non-recurrent reserve lodged with the SHA has been returned on the basis that the Cluster meets any redundancy or premises exit costs resulting from the current reorganisation. The potential take up of the latest MARS has been high, and the potential costs are being calculated. The cost of the leavers needs to be considered against the provision available prior to final approval to avoid any additional financial pressure.
28. Other risks include activity pressures exceeding the contingency sum set aside, and although the Cluster is reporting overperformance – most notably in respect of University Hospitals Birmingham FT (UHB) and Sandwell & West Birmingham NHST (SWB) – with risk share agreements in place the risk of exceeding the 1% contingency is now considered low. However, activity at Heart of England FT is significantly ahead of plan, and the additional costs are currently not included in the Cluster position due to the risk share agreement. This pressure remains a key risk.

### **(3.3) PCT Positions**

29. A summary of the year to date variances by PCT is set out in table 4 overleaf.
30. **BEN PCT** continues to run slightly behind plan at month 5, being £0.2m below the target surplus for the year to date. The forecast has improved, principally due to expected underspends on complex care and staff costs, and lower expenditure on new Frail/Elderly schemes, and the PCT is now forecast to report a small year end surplus of £0.2m.

Table 4. Year to date variance against plan	Revenue YTD Variances against YTD Plan				
	BEN £000	HoB £000	Solihull £000	South £000	Cluster £000
Acute Services	(476)	(333)	(232)	(913)	(1,954)
Specialised Services	(464)	(357)	(203)	(404)	(1,428)
Community Services	973	344	(102)	804	2,019
Mental Health/LD	178	254	327	265	1,024
Primary Care	(184)	125	17	447	406
Prescribing	0	329	46	200	576
Corporate Budgets	(1,054)	375	167	(109)	(620)
Reserves	1,014	(683)	352	547	1,229
Public Health	(180)	48	(13)	106	(39)
<b>Under/(Over) c/w Plan</b>	<b>(194)</b>	<b>103</b>	<b>359</b>	<b>943</b>	<b>1,212</b>

31. **HoB PCT** is reporting a surplus of £0.1m against plan at month 5, which is less than with that reported at month 4, but the forecast position has improved significantly. The PCT is, however, still reporting a forecast overspend, although this has reduced to £0.6m, £1.6m short of the control total target. The improvements are due to similar reasons to those seen in BEN PCT, with additional prescribing savings also contributing.
32. **Solihull PCT** is reporting a surplus £0.4m plan at month 5, and is also now forecasting a surplus of £0.9m at year end, just £0.1m short of the control total. The improvement in Solihull is less marked than other PCTs. Solihull has a smaller complex care budget and fewer staff which means it has benefitted less substantially from reductions in these areas.
33. **South Birmingham PCT** is reporting a surplus of £0.9m against plan at month 5. A small forecast surplus was reported at month 4, and the same factors impacting on the forecasts of the other PCTs have resulted in a forecast underspend that is now significantly above target at £3.5m.

#### (3.4) Progress with QIPP Savings and Recovery Plan

34. The Cluster has a combined QIPP Target of £58m. The latest report shows a year to date shortfall against the QIPP plan of £11.2m as outlined in Table 5. There are some schemes that will not begin to deliver savings until later in the year, and by year end savings are still expected to rise to nearly £32m, which is 55% of the planned figure.
35. The QIPP gap remains a major concern and increases the likely startpoint deficit for 2013/14.
36. Planned Care and the £16m unidentified gap represent the most significant areas of delivery slippage and risk within the QIPP programme. Further details regarding QIPP are provided in section 6.

Table 5. QIPP Saving Scheme	QIPP YTD Variance from Plan				
	BEN £000	HoB £000	Solihull £000	South £000	Cluster £000
Planned Care	(164)	(145)	(67)	(791)	(1,167)
Medicines Management	0	0	0	0	0
Mental Health	(113)	(257)	(10)	(366)	(746)
Maternity and Children	83	(37)	59	23	128
Alcohol	0	0	0	0	0
Urgent Care	(33)	(80)	(8)	89	(32)
End of Life	(18)	(141)	(1)	(209)	(369)
Long Terms Conditions	25	(176)	3	(79)	(227)
Continuing Healthcare	(157)	(72)	(65)	(98)	(392)
Tobacco Control	0	0	0	0	0
Primary Care	(76)	(34)	(34)	(65)	(209)
Business Efficiencies	(2,811)	(2,402)	(1,298)	(2,247)	(8,758)
CCG New Initiatives	0	0	0	159	159
<b>Total favourable/(adverse) YTD Variance v QIPP target</b>	<b>(3,264)</b>	<b>(3,344)</b>	<b>(1,421)</b>	<b>(3,584)</b>	<b>(11,613)</b>

### (3.5) Clinical Commissioning Group Financial Positions

37. In 2012/13 Cluster budgets have been delegated to CCGs to manage as a key element of their development and path towards authorisation. An additional report is included within Appendix 3 which breaks down the Cluster financial position by CCG. It should be noted that NHS Birmingham Cross City CCG data includes a small number of practices that are currently part of Sandwell PCT, and that the Sandwell & West Birmingham CCG figures relate only to the Birmingham & Solihull responsible practices.
38. Table 6 sets out the year to date surplus by Clinical Commissioning Group.

Table 6a. CCG Year To Date Position		2012/13 Plan £'000	2012/13 YTD Plan £'000	2012/13 YTD Actual £'000	YTD Variance £'000	Prev Month £'000
Year To Date Financial Position (revenue)	<b>BCC</b>	1,637	543	995	<b>452</b>	42
	<b>BSC</b>	705	296	689	<b>393</b>	216
	<b>NEB</b>	376	153	<b>42</b>	<b>(195)</b>	<b>(143)</b>
	<b>SOL</b>	630	419	781	<b>362</b>	106
	<b>SWB</b>	652	256	453	<b>197</b>	475
	<b>Total</b>	<b>4,000</b>	<b>1,667</b>	<b>2,876</b>	<b>1,209</b>	696

39. As with the individual PCTs, the year to date position for the CCGs is ahead of plan overall, with the exception of North East B'ham CCG. Unidentified QIPP savings are currently being more than offset by the contingency sum for the year to date and the non recurrent impact of underspends on reserves including pump priming funds and the frail & elderly developments noted in previous reports.

40. In addition, there are year to date underspends being reported across most budget areas with the exception of acute, specialised services and Cluster corporate budgets.
41. Table 6b shows the latest forecast outturn by CCG.

<b>Table 6b. CCG Forecast Outturn</b>		<b>2012/13 Plan £m</b>	<b>2012/13 Forecast £m</b>	<b>(Deficit)/ Surplus £m</b>	<b>Status</b>	<b>Previous Month £m</b>
Forecast financial position (revenue)	<b>BCC</b>	1,636	1,901	265		(4,108)
	<b>BSC</b>	705	1,125	420		(941)
	<b>NEB</b>	376	146	(230)		(1,435)
	<b>SOL</b>	630	559	(71)		(489)
	<b>SWB</b>	652	244	(407)		(1,616)
	<b>Total</b>	<b>3,998</b>	<b>3,975</b>	<b>(23)</b>		<b>(8,589)</b>

42. The forecast outturn position has improved for all CCGs since month 4, in line with the improvement seen across the PCTs. All CCGs are now forecast to end the year with a surplus position. Due to the way that CCGs are drawn from different PCTs, the forecast outturns are more evenly spread than those of the PCTs.

#### **Section 4: Capital Expenditure**

43. The Cluster has capital resources (CRL) of £19.5m available for 2012/13. The SHA has now confirmed the capital resource limit for all schemes with the exception of the LIFT scheme at Atwood Green, which has now opened. Of the £19.5m plan, excluding those elements relating to LIFT and non Cluster expenditure, this leaves £4.7m for Cluster budgets. Table 7 shows the overall year to date position against the full CRL.
44. The balance of £4.7m is planned for expenditure on IT and Estates programmes. Cluster capital expenditure to date is around £2m for the year to date but is very low in both BEN and HOB PCTs. Certain IT projects have now been red rated and as a result a year end underspend of £0.9m is currently forecast. This underspend can be avoided if action is taken early enough.

<b>Table 7 Capital Expenditure</b>	<b>BEN</b>		<b>HoB</b>		<b>Solihull</b>		<b>South</b>		<b>Cluster</b>	
	Plan £000	YTD £000	Plan £000	YTD £000	Plan £000	YTD £000	Plan £000	YTD £000	Plan £000	YTD £000
<b>Capital Resource Limit</b>	1,144	34	10,488	193	3,764	950	4,068	865	<b>19,464</b>	<b>2,042</b>
New Build Schemes	0	0	8,900	0	960	14	230	100	<b>10,090</b>	<b>114</b>
Maintenance	92	13	206	52	1,309	804	2,635	650	<b>4,242</b>	<b>1,519</b>
Furniture and Equipment	0	0	280	0	695	110	75	0	<b>1,050</b>	<b>110</b>
IT Equipment	1,052	21	1,102	141	800	22	1,128	115	<b>4,082</b>	<b>299</b>
Other Schemes	0	0	0	0	0	0	0	0	<b>0</b>	<b>0</b>
<b>Total Expenditure</b>	<b>1,144</b>	<b>34</b>	<b>10,488</b>	<b>193</b>	<b>3,764</b>	<b>950</b>	<b>4,068</b>	<b>865</b>	<b>19,464</b>	<b>2,042</b>
<b>Under/(Over) Spend</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Section 5: Statement of Financial Position

45. Table 8 below summarises the Statement of Financial Position (SoFP) at month 5 for each PCT and for the Cluster in aggregate.
46. The SoFP represents a statement of assets and liabilities at a given point and includes the value of property assets owned by the PCTs as well as leased assets such as LIFT buildings. It also includes other assets such as money owed to the PCTs (receivables), cash balances and liabilities, mainly amounts owed to other organisations.
47. The transfer of assets associated with Transforming Community Services will now take place at the end of 2012/13, alongside transfers to the new NHS property organisation. The SOFP therefore includes assets that will transfer to other NHS bodies on 1<sup>st</sup> April 2013.

<b>Table 8 Statement of Financial Position - at Month 5</b>	<b>BEN £000</b>	<b>HoB £000</b>	<b>Solihull £000</b>	<b>South £000</b>	<b>Cluster Total M5 £000s</b>	<b>Forecast Year End £000</b>
Non Current Assets	54,124	50,201	22,133	85,039	<b>211,497</b>	<b>211,077</b>
Current Assets	8,441	1,535	3,901	7,857	<b>21,734</b>	<b>27,533</b>
Current Liabilities	(65,817)	(27,737)	(23,080)	(43,915)	<b>(160,549)</b>	<b>(171,623)</b>
Non Current Liabilities	(34,985)	(26,300)	(6,045)	(9,307)	<b>(76,637)</b>	<b>(83,966)</b>
<b>Total Net Assets Employed</b>	<b>(38,237)</b>	<b>(2,301)</b>	<b>(3,091)</b>	<b>39,674</b>	<b>(3,955)</b>	<b>(16,979)</b>
Reserves	(38,237)	(2,301)	(3,091)	39,674	<b>(3,955)</b>	<b>(16,979)</b>
<b>Total Taxpayers Equity</b>	<b>(38,237)</b>	<b>(2,301)</b>	<b>(3,091)</b>	<b>39,674</b>	<b>(3,955)</b>	<b>(16,979)</b>

48. Since PCTs remain the accountable bodies on which accounting systems are based, there is currently no CCG SoFP available.
49. The Cluster monitors various components of the SoFP through the use of key performance indicators, and Appendix 9 sets out a summary of working capital KPIs across the Cluster.
- The number of staff overpayments reported in month 5 increased to 7 across the Cluster, taking the year to date total to 14.
  - Month end cash balances at the end of August remain high at £4.9m.
  - The proportion of invoices paid with no order has increased to 40% in August.

## PCT Annual Accounts 2012/13

50. Completion of the 4 sets of PCT annual accounts for 2012/13 is likely to be a challenge for the Cluster in the light of the current reorganisation. A plan is being developed to set out how this will be achieved, and will be set out in more detail in the next report.

### Section 6: QIPP

51. This section of the report is intended to provide the Cluster Board with an over view of QIPP delivery status for month 5, covering the following areas of assurance:
- **QIPP Finance Position:** - Year to date against plan and forecast out turn.
  - **QIPP Delivery Status:-** Month 5 Programme Dashboard (Appendix 1) including:-
    - QIPP project delivery status month 5
    - QIPP account summary statement
    - QIPP account financial status and risk RAG rating
  - **QIPP PMO / Delivery Assurance update:** - Over view of PMO Assurance activity this period.

#### QIPP Finance Position: – Month 5

52. The finance tables below provide a summary of the QIPP financial position for month 5, at both Cluster and CCG level. To date the financial position indicates that the QIPP programme is on track to deliver £32.347m which is approximately £528k more than was forecast at month 4. This forecast equates to 55.8% of the cluster QIPP savings target and consequently means that a negative variance of £25.653m is currently forecast. The month 5 finance summary indicates that the Medicines Management, Alcohol and Tobacco accounts all remain on plan (year to date month 5) to deliver against their respective planned savings targets. In addition Mental Health, Primary Care, and Continuing Health Care are forecast to deliver against their planned savings targets.
53. Business Efficiencies is now the only 'live' account which is forecast to deliver less than 50% of the planned project savings targets. However it should be noted that this continues to be primarily due to the inclusion of the £16m 'unvalidated CCG QIPP scheme' target which is allocated within this account. However new CCG schemes are now being included within the overall portfolio which will contribute towards delivery of the £16m gap.

Summary by Theme	Annual Plan £000	Plan YTD £000	Actual YTD £000	Variance YTD £000	Forecast Out-turn £000	Forecast Variance £000
Planned Care	8,300	3,379	2,211	-1,168	5,689	-2,611
Medicines Management	3,732	1,555	1,555	0	3,732	0
Mental Health	4,200	1,750	1,004	-746	4,050	-150
Maternity and Children	2,123	271	399	128	1,128	-996
Alcohol	744	310	310	0	744	0
Urgent Care	3,948	1,644	1,612	-32	3,869	-79
End of Life Care	885	369	0	-369	0	-885
Long Term Conditions	2,258	274	46	-228	1,810	-448
Continuing Healthcare	6,500	2,708	2,315	-394	6,500	0
Tobacco Control	355	148	148	1	355	0
Primary Care	500	209	0	-209	500	0
Business Efficiencies	24,455	10,193	1,435	-8,758	3,436	-21,019
CCG New Initiatives	0	0	159	159	534	534
<b>QIPP as per financial plan</b>	<b>58,000</b>	<b>22,809</b>	<b>11,194</b>	<b>-11,615</b>	<b>32,347</b>	<b>-25,653</b>

CCG Summary	Annual Plan £000	Plan YTD £000	Actual YTD £000	Variance YTD £000	Forecast Out-turn £000	Forecast Variance £000
North East Birmingham	4,851	1,968	1,059	-909	2,762	-2,089
Solihull	8,380	3,399	1,761	-1,638	4,521	-3,859
Birmingham Clinical Comm. Group	24,187	9,531	4,675	-4,856	13,396	-10,791
Birmingham South Central	10,791	4,134	1,882	-2,252	5,987	-4,804
Sandwell and Birmingham	9,791	3,775	1,817	-1,958	5,681	-4,110
<b>Total CCG Targets</b>	<b>58,000</b>	<b>22,808</b>	<b>11,194</b>	<b>-11,613</b>	<b>32,347</b>	<b>-25,653</b>

Please note tables include roundings.

### QIPP Programme Dashboard – Month 5 Key Themes

54. The QIPP Programme Dashboard enclosed at Appendix 1, provides a detailed overview of the month 5 project delivery status for all projects contained within the BSol QIPP programme, using the DH 'Project Life Cycle' categories (Development, Implementation and Benefits realisation), and the DH status criteria (stage completed, on plan, off plan but recoverable and off plan intervention required). The dashboard provides a visual profile of project delivery status for each project, across the entire system and CCG 'validated' 2012/13 Cluster QIPP programme, as at Month 5.
55. The summary table at the front of the QIPP Reporting Dashboard (in Appendix 1) provides an overview of the information profiled within the Dashboard. The number of projects has reduced from 52 to 51 – this is due to 2 projects within Continuing Health Care combining their project milestones / workstreams. The savings targets are to remain unchanged. The summary table indicates that to date:

- 19 projects (37%) have now completed the development phase
- 6 projects (12%) have now completed the implementation project phase
- 17 projects (33%) in the development phase and 17 projects (33%) in the implementation phase, are 'On Plan'
- 13 projects (25%) are on plan to deliver savings to a value of £11.9m (20.5% of the QIPP savings target) this is an increase of £500k reported last month
- In addition to this 2 projects have already achieved profiled savings to the value of £1.46m (a further 2.5% of the QIPP savings target).

56. The Dashboard (Appendix 1) also highlights projects with a reported status of 'Off Plan Intervention required' against delivery of savings. For month 5, the projects identified as 'Off Plan' intervention required (over and above the unvalidated CCG QIPP Gap) are the:

• Planned Care – PLCV	£1.000m
• Planned Care – Nurse Led Clinics	£ 250k
• Primary Care – Ophthalmic Claims	£ 100k
• Management Cost Savings	<u>£ 5.000m</u>
<b>Total</b>	<b><u>£6.350m</u></b>

57. Consequently, the unvalidated CCG QIPP Gap (£16m), the closed End of Life account (£885k), and the management cost savings project (£5m), which have a combined total savings target of £21.885m (37.7% of the total QIPP savings target), represent the most significant area of delivery slippage and risk within the QIPP programme as at month 5.

58. The month 5 QIPP Dashboard (Appendix 1) also indicates that 19 (37%) projects are yet to commence realising savings – this is a reduction on last month's position where this equated to 22 projects (42%). Consequently whilst month 5 demonstrates delivery progress the current QIPP programme continues to indicate a significant degree of delivery risk at this early reporting period, with a significant number of projects profiled to realise benefits in the second half of 12/13. Whilst this project profiling provides an explanation for the current high number of projects 'not yet' achieving savings, this position continues to require carefully monitored as any slippage in benefit commencement could present a significant QIPP delivery risk in the latter half of 2012/13.

59. The project status movement table, (at the end of Appendix 1 Dashboard), compares last month's project status with this month's project status. This table indicates that the number of projects 'not yet started' has decreased this month whilst the number of projects 'On Plan' and achieving benefits / savings realisation has increased this month, (despite this number remaining low), as compared to last month. However, the project movement status table also indicates that the number of projects 'off plan but recoverable' has increased this month, predominantly being in the implementation phase. There has been a reduction this month in the number of projects identified as 'off plan intervention required' – the reduction is small so



remaining projects may require further investigation to ensure rectification plans are in place.

60. Currently, the QIPP Dashboard only includes system QIPP schemes (generated through the Commissioning and contracting cycle) and validated CCG schemes which have progressed through the CCG / System Gateway. However, there are currently an additional 9 CCG QIPP schemes notified to the PMO that are in the process of proceeding through the Gateway / under development as detailed in the table below:-

**Summary of CCG Outline / Full Business Cases Progressing through the Approval Gateway / in development:**

<b>CCG</b>	<b>Project</b>	<b>OBC / FBC</b>	<b>Gross Savings 12/13 £000</b>	<b>Investment 12/13 £000</b>	<b>Net Savings 12/13 £000</b>	<b>Gateway Status</b>
<b>SH CCG</b>	Dermatology	FBC	145	0	145	Awaiting CCG / JCCG Approval
<b>SH CCG</b>	Community CKD Service	FBC	tbc	tbc	tbc	Awaiting Submission for CCG Approval
<b>SH CCG</b>	Cardiology Pathway Redesign	FBC	tbc	tbc	tbc	Expansion of pilot in development
<b>SH CCG</b>	ENT Mico Suction :- Community Alternatives	FBC	tbc	tbc	tbc	Expansion of existing service in development
<b>NEB CCG</b>	Gynaecology	OBC	tbc	tbc	tbc	In Development
<b>NEB CCG</b>	Wound Management	OBC	tbc	tbc	tbc	Awaiting decision from NEB re viability to proceed
<b>NEB CCG</b>	Ambulatory Blood Pressure	OBC	tbc	tbc	tbc	In Development
<b>BSC CCG</b>	Respiratory Quality Improvement Scheme	FBC	143	105	38	Approved at CCN – to be presented at F&P Committee for funding approval

<b>BSC CCG</b>	Additional Prescribing Efficiencies	OBC	750 (est.)	110 (est.)	640 (est.)	Approved by CCG 08/08/12. To continue through approval gateway (CCN)
	<b>Total</b>		<b>1,038</b>	<b>110</b>	<b>823</b>	

### **QIPP PMO / Delivery Assurance Update:-**

**Working with CCG'S:-** A programme of work focused on improving delivery assurance of QIPP during transition was presented to, and approved by the CCN at its last meeting. This programme of work includes:-

- Embedding previous system QIPP schemes for planned care and Long Term Conditions / Frail Elderly within CCG's through alignment to appropriate provider JCCG delivery.
- Undertake a transition assurance review to identify QIPP delivery risks during transition and produce a legacy status handover report for CCN.
- Work with CCG's to ensure that their local CCG QIPP plans meet agree minimum data requirements to provide increased delivery assurance

**Governance:** - The QIPP Operations Group has been postponed until further notice, pending the outcome of the 'Fit for Purpose' review which includes a consultation questionnaire across the current membership of the group including CCG representatives. The questionnaire will shape recommendations as to the future of this forum, and if the forum continues will shape the future focus, function, purpose and membership taking account of the transition to the future NHS architecture.

**Delivery Assurance:-** The PMO team will be issuing the second Quarterly Self Assessment QIPP delivery Assurance KPI request to CCG's at the end of September and will be comparing progress made by CCG's against the original base line self assessment submitted in July, the outcome of which will be reported to the CCN in November.

61. The PMO team is hosting capacity to support programme management of the evolving CCN work programme, and is working with the CCN chair to develop the CCN Memorandum of Understanding which will outline the ongoing function responsibilities and collaboration arrangements facilitated through the CCN during transition and beyond.
62. The PMO is continuing to implement the Aspyre web based project management tool across all QIPP accounts and projects including CCG QIPP programmes and is working with IT colleagues to promote Aspyre as a programme management tool to be offered to CCG's as part of a CSU offer, across the CSU foot print.

63. The PMO is currently in the process of reviewing the 2013/14 transformational milestones return and will be seeking to refresh the current milestones for future years through discussions with the CCN to ensure the milestones are relevant owned and aligned to CCG commissioning plans.
64. The PMO team has been requested to provide programme management support to this year's commissioning intensions process, and will be working proactively with individual CCGs and the recently convened CCG Commissioning Leads group to scope the PMO requirements to support this year's commissioning and contracting round.

## **Section 7: Performance**

### **Cluster-wide Integrated Performance Measures**

65. Appendix 10 shows a dashboard of the Cluster's performance for integrated performance measures up to the end of July 2012. The dashboard shows the latest available actual performance information to date against the agreed trajectory for the period.
66. The areas where performance remains of concern are:
  - A&E waits at HoEFT, particularly Heartlands and Good Hope sites. The 4 hour target has not been met to date this year. This is of significant concern. Action plans have been developed with commissioners through the JCCG. Plans include robust escalation procedures.
  - Diagnostic waits at HoEFT. Despite action original action plan being delivered the position remains of concern and further plans are being developed through the JCCG and escalation through the contract route.
  - Ambulance Turnround continues to be red across the Cluster.
67. Handover of performance to CCGs will be complete by the September Board meeting.
68. CCGs performance leads will take the lead on managing performance across providers. These will feed into future reports.
69. Individual provider scorecards, including key metrics, risks and issues are being developed as part of the legacy handover to the LAT.
70. Two data errors have been identified with UNIFY submissions from HoEFT. The errors relate to non elective activity and as a result the position is understated; and activity aligned to incorrect PCTs for aspects of radiology. The error was identified by our BI team and reported to the commissioner (Solihull). Work is in progress to quantify the actual scale of the issue. Contract query has been served and an action plan developed to correct the position, at point of writing, the action plan has not

been signed off as it provides limited assurance that the issue or any others will not happen again. The issue has been shared with the SHA as it has an impact on QIPP returns which as a result show a slightly more favourable position than is actual.

71. A summary of the key issues in relation to month 4 performance is shown below.

***Operating Framework Domain 1: Preventing people from dying prematurely***

72. The Cluster and its providers continued to perform strongly against all the cancer targets during July.
73. Category A 19 minute ambulance response times continue to perform well, at 99% against a target of 95%; whilst 9 minute response time 74% this month against a 75% target.

***Operating Framework Domain 2: Enhancing quality of life for people with long term conditions***

74. Quarter 1 figures – as per last month

***Operating Framework Domain 3: Helping people to recover from episodes of ill health or following injury***

75. Data for this domain will not be available until ONS population data released in the autumn.

***Operating Framework Domain 4: Ensuring that people have a positive experience of care***

76. Patients waiting more than 6 weeks for a diagnostic test continue to be actively monitored. Although the target has been achieved across Cluster, actual numbers have increased in month. There is an agreed action plan agreed with HoEFT to deliver required improvements and is now subject to performance management through JCCG and contract review meetings.
77. There were no breaches of the mixed sex accommodation targets in June at any of the Cluster's providers. A RCA has been commissioned into a MSA breach at BCH in July.
78. Performance against the 18 week referral to treatment targets remains strong with targets for admitted and non-admitted pathways being delivered successfully in all the Cluster's providers.
79. Plans agreed with Commissioners through JCCG to address RTT long waits in Plastic Surgery, Breast Reconstruction Surgery and General (including Bariatric) Surgery at HoEFT are being delivered and lists are beginning to reduce in line with plan.

***Operating Framework Domain 5: Treating and caring for people in a safe environment and protecting them from avoidable harm***

80. HCAI measures for both C Dif and MRSA remain within target.

***Operating Framework: Local indicators***

81. Breastfeeding, Diabetic Retinopathy Screening and Stroke targets all achieving above target across the Cluster.

***Operating Framework: Resources***

82. Month 4 activity information obtained from the MAR (Monthly Activity Report) on the UNIFY system continue to show high levels of activity against both plans and against last year's performance, in all areas except non-elective admissions with particularly high activity at both Solihull and BEN localities, which would suggest high activity at HEFT.
83. Non Elective activity data submitted to UNIFY by HoEFT is currently being reviewed following the discovery of an incorrect query resulting in an understated position. The overall reported red position is unlikely to change as a result the correction.
84. GP and other referrals continue to be significantly above plan with the most significant numbers across Solihull and BEN PCT areas.

**Section 8: Workforce Performance**

85. The workforce report provides updates on workforce performance in order to understand any issues with the workforce, or areas of performance that may impact of the PCT Cluster as a whole. The report includes performance on
- Sickness Absence
  - Retention and Turnover
  - Staff at Risk
  - Bank Staff/Agency Contractor Spend
  - Operational HR
86. The report is evaluated to identify the PCT Cluster overall performance and any problem areas that may need management intervention. This particular report is based on August 2012 Workforce data.

***Sickness Absence***

87. Sickness absence in July was identified for the PCT Cluster as a whole at 3.80% which has been recorded to have reduced in August down to 3.30%. This brings a cost reduction of £16,997 down to £124,106. Nursing and Quality remains the highest area of sickness absence but this has reduced on the July total by approximately 2% which is encouraging.

### ***Retention and Turnover (whole time equivalent – wte)***

88. The labour turnover for the Cluster equates in August to an increased level of activity of 0.42% from the previous month of July recorded at 0.44%. Excluding a number of new apprentices on fixed term contracts, there was 1 new (wte) starter and 9 (wte) leavers for the month of August. The majority of the leavers were in Public Health (4 wte). The new starter was identified in the Commissioning Directorate. The wte count for the PCT Cluster for the month of August is identified as 1042.

### ***Staff “At Risk” of Redundancy***

89. There are no staff formally recorded “at risk” in August.

### ***Bank and Agency/Contractor Spend***

90. For the month of August the use of bank staff was reported across the BSOL Cluster at a cost of £23,848. This is an increase of £3,173, up from £20,675 from July. The highest level of bank activity is identified in the Finance Directorate at £11,481 across band 2, 3 and 4. The workforce report includes external agency spend with £94,582 being recorded for the month of August. This is a marked decrease of expenditure from that recorded for July which was recorded at £153,612. The highest expenditure recorded for agency/contractor in August was in the Executive Directorate at £55,710.

### ***Operational HR***

91. The report is broken down into key areas where formal action is being undertaken (investigation or process).
- Disciplinary
  - Grievance
  - Bullying and Harassment
  - Capability investigations
  - Sickness absence stage 2 and 3/long term sickness absence
  - Appeals
  - Employment Tribunals
92. Under each category the August report identifies HR procedures being pursued in disciplinary - 3 cases, grievance - 4 cases, bullying and harassment - 5 cases, sickness absence stage 2 - 4 cases, sickness absence long term - 41 cases. In respect of the long term sickness absence there are 14 cases being managed within the Nursing and Quality Directorate and 13 in the Finance Directorate which reflects the higher % figures for sickness absence mentioned in the above report.

## **Section 9: Conclusion and Recommendations**

### **Finance**

93. The year to date position is a surplus of £1.2m against a plan of £1.7m.
94. The forecast financial position at the end of March 2013 is potentially a surplus of £4m across the Cluster in line with the planned surplus of £4m. The improvement is the mainly the result of forecast underspends on complex care and corporate budgets.
95. The shortfall against QIPP targets continues to be the principle area of concern.

### **QIPP**

96. The negative forecast variance of £25,653
97. The continuing delivery risk associated with the unvalidated CCG QIPP gap
98. The work programme agreed through the CCN to improve delivery assurance of CCG QIPP plans and manage QIPP delivery through transition.

### **Performance**

99. The Cluster continues to perform strongly against the key standards for 18 weeks referral to treatment, and cancer.
100. Areas which remain of concern in terms of performance are A&E waits, the number of patients waiting more than 6 weeks for diagnostic tests, ambulance turnaround and general levels of elective activity.

### **Workforce**

101. Sickness absence has reduced, workforce numbers have increased and bank & agency staffing expenditure has reduced when compared with the previous month.

### **Recommendations**

102. **The Board is recommended**
  - to note the month 5 financial position and risks as outlined in the report.
  - to note the financial status against each of the QIPP savings targets and the delivery status of each Account.

- to note the performance of the Cluster against Operating Framework and local targets.
- to note the latest HR and Workforce information.

**Rachel Hardy**  
**Director of Finance**

**Diane Reeves**  
**Medical Director**

**September 2012**



**Revenue Expenditure: Summary Cluster Source and Application of Funds**

**Appendix**

	Annual Budget	Year to Date Budget M5	Year to Date Actual M5	Year to Date Variance	Forecast Outturn M5	Forecast Outturn Variance	Forecast Variance - Prev Mth
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>							
<b>Total Revenue Resource Limit</b>	<b>2,399,373</b>	<b>991,443</b>	<b>991,443</b>	<b>0</b>	<b>2,399,373</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>							
Acute Services	885,526	368,731	370,685	(1,954)	894,971	(9,445)	(6,772)
Specialised Services	294,411	125,472	126,900	(1,428)	295,269	(858)	(101)
Community Services	326,973	137,455	135,436	2,019	319,995	6,978	3,376
Mental Health Services	150,466	63,153	62,824	329	150,241	225	204
Learning Disability Services	42,263	17,610	16,915	695	43,321	(1,058)	(1,280)
Primary Care Services	294,728	122,612	122,206	406	294,178	550	221
Prescribing	209,777	87,184	86,608	576	208,715	1,062	29
Corporate Budgets	50,800	11,444	12,064	(620)	55,364	(4,564)	(6,362)
<b>Reserves</b>							
Contingency: Contract Risk	23,933	9,972	3,617	6,355	12,299	11,634	8,214
Non Recurrent Reserves	18,225	5,385	3,157	2,228	6,476	11,749	10,150
Planned Surplus	0	0	0	0	0	0	0
Other Reserves	9,554	3,984	4,661	(677)	9,706	(152)	19
Unidentified QIPP	(16,022)	(6,677)	0	(6,677)	0	(16,022)	(16,022)
Public Health	104,737	43,452	43,491	(39)	104,861	(124)	(269)
<b>Total Expenditure</b>	<b>2,395,373</b>	<b>989,776</b>	<b>988,564</b>	<b>1,212</b>	<b>2,395,396</b>	<b>(23)</b>	<b>(8,592)</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>4,000</b>	<b>1,667</b>	<b>2,879</b>	<b>1,212</b>	<b>3,977</b>	<b>(23)</b>	<b>(8,592)</b>

Revenue Expenditure: Source and Application of Funds (Clusterwide)

Appendix 1

	Annual Budget	Year to Date Budget M5	Year to Date Actual M5	Year to Date Variance	Forecast Outturn M5	Forecast Outturn Variance	Forecast Variance - Prev Mth
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>							
Confirmed Allocations	2,410,196	991,443	991,443	0	2,399,373	(10,823)	(4,146)
Anticipated Allocations	(10,823)	0	0	0	0	10,823	4,146
<b>Total Revenue Resource Limit</b>	<b>2,399,373</b>	<b>991,443</b>	<b>991,443</b>	<b>0</b>	<b>2,399,373</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>							
<u>Acute Services</u>							
University Hospitals Birmingham NHS FT	174,401	72,668	75,268	(2,600)	181,206	(6,805)	(4,184)
Birmingham Womens Hospital NHS FT	37,732	15,581	15,694	(113)	38,381	(649)	(649)
Birmingham Childrens Hospital NHS FT	45,853	19,106	18,354	751	45,853	0	0
Royal Orthopaedic Hospital NHS FT	27,781	11,575	11,587	(12)	27,781	(0)	(0)
Heart of England NHS FT	366,665	152,795	152,955	(160)	366,826	(161)	(160)
Sandwell and West Birmingham NHS Trust	133,467	55,611	56,110	(499)	135,512	(2,045)	(2,045)
West Midlands Ambulance Services NHS Trust	40,298	16,791	16,791	0	40,298	(0)	(0)
Worcester Acute Hospitals NHS Trust	4,565	1,902	1,885	17	4,564	1	1
Dudley Group of Hospitals NHS FT	1,473	614	609	5	1,474	(1)	(1)
Royal Wolverhampton Hospitals NHS Trust	561	234	241	(7)	561	(0)	(0)
Univ Hospitals Coventry & Warks NHS Trust	5,173	2,155	2,220	(64)	5,174	(1)	(1)
Walsall Hospitals NHS Trust	2,601	1,084	705	378	2,601	(0)	(0)
Other Acute Providers	7,488	3,009	2,755	254	7,273	215	267
Non Contracted Activity	12,666	5,278	5,275	2	12,666	0	(0)
Non NHS Providers	17,925	7,464	7,446	18	17,924	1	1
Dental Hospital	6,878	2,866	2,790	76	6,877	1	1
<b>Total Acute Services</b>	<b>885,526</b>	<b>368,731</b>	<b>370,685</b>	<b>(1,954)</b>	<b>894,971</b>	<b>(9,445)</b>	<b>(6,772)</b>
<u>Commissioned Specialised Services</u>							
Tier 1 & 2 Specialised Services	294,411	125,472	126,900	(1,428)	295,269	(858)	(101)
<b>Total Specialised Services</b>	<b>294,411</b>	<b>125,472</b>	<b>126,900</b>	<b>(1,428)</b>	<b>295,269</b>	<b>(858)</b>	<b>(101)</b>
<u>Commissioned Community Services</u>							
Birmingham Community Healthcare NHS Trust	125,909	53,008	52,412	597	125,580	329	176
Heart of England NHS FT	25,840	10,792	10,794	(2)	25,845	(5)	(7)
University Hospitals Birmingham NHS FT	0	0	0	0	0	0	0
Services from other PCTs	4,139	2,216	2,185	31	4,109	30	94
Services from other Acute Providers	2,130	888	855	33	2,173	(43)	(36)
Prison Healthcare	9,035	3,740	3,740	(0)	9,035	0	0
Non NHS Providers	16,129	6,642	6,512	131	15,598	531	441
Complex Packages of Care	104,969	43,737	43,404	333	101,148	3,821	800
Free Nursing Care	12,182	5,076	5,084	(8)	12,182	(0)	(0)
Social Care & Reablement	20,465	8,527	8,165	362	19,597	868	501
Drug and Alcohol Action Team	0	0	0	0	0	0	0
Other (LDP Projects & Disinvestments)	6,175	2,830	2,286	544	4,728	1,447	1,406
<b>Total Community Services</b>	<b>326,973</b>	<b>137,455</b>	<b>135,436</b>	<b>2,019</b>	<b>319,995</b>	<b>6,978</b>	<b>3,378</b>
<u>Commissioned Mental Health Services</u>							
Birmingham & Solihull Mental Health NHS FT	136,058	56,691	57,108	(417)	137,181	(1,123)	(1,133)
Other Mental Health Trusts/PCTs	3,112	1,297	1,368	(71)	3,390	(278)	(220)
Non NHS Providers	8,962	3,912	3,096	817	7,336	1,626	1,557
CAMHS Grant (HoB only)	2,334	1,253	1,253	0	2,334	(0)	(0)
<u>Commissioned Learning Disability Services</u>							
Learning Disability Service (pooled budget)	29,676	12,365	11,964	401	31,039	(1,363)	(1,433)
Coventry and Warwickshire Partnership NHS Trust	8,330	3,471	3,213	258	8,177	153	153
Other PCTs/NHS Trusts	0	0	0	0	0	0	0
Non NHS Providers	4,257	1,774	1,738	36	4,105	152	0
<b>Total Mental Health and LD Services</b>	<b>192,729</b>	<b>80,763</b>	<b>79,739</b>	<b>1,024</b>	<b>193,562</b>	<b>(833)</b>	<b>(1,076)</b>
<u>Commissioned Primary Care</u>							
Global Sum - GMS	59,588	24,828	24,970	(141)	59,890	(302)	(297)
PMS	46,414	19,339	19,353	(14)	46,415	(1)	14
QOF	28,821	12,009	12,068	(59)	28,977	(156)	(131)
GP Other	37,626	15,485	15,285	201	37,376	250	(38)
Enhanced Services	11,389	4,745	4,780	(34)	11,415	(27)	(20)
Dental Contractors	50,508	21,045	20,661	384	49,478	1,031	886
Pharmacy Contractors	43,333	18,056	17,864	191	43,516	(183)	0
Ophthalmic Contractors	17,049	7,104	7,226	(122)	17,111	(63)	(192)
Other (Earmarked Commitments)	0	0	0	0	0	0	0
<b>Total Primary Care Services</b>	<b>294,728</b>	<b>122,612</b>	<b>122,206</b>	<b>406</b>	<b>294,178</b>	<b>550</b>	<b>221</b>
<b>Prescribing</b>	<b>209,777</b>	<b>87,184</b>	<b>86,608</b>	<b>576</b>	<b>208,715</b>	<b>1,062</b>	<b>29</b>
<u>Corporate Budgets</u>							
Management Budgets	43,552	9,023	10,571	(1,548)	48,115	(4,563)	(6,314)
CCG - Management	7,248	2,420	1,493	927	7,249	(1)	(48)
<b>Total Corporate Budgets</b>	<b>50,800</b>	<b>11,444</b>	<b>12,064</b>	<b>(620)</b>	<b>55,364</b>	<b>(4,564)</b>	<b>(6,362)</b>
<u>Reserves</u>							
Contingency: Contract Risk	23,933	9,972	3,617	6,355	12,299	11,634	8,214
Non Recurrent Reserves	18,225	5,385	3,157	2,228	6,476	11,749	10,150
Planned Surplus	0	0	0	0	0	0	0
Other Reserves	9,554	3,984	4,661	(677)	9,706	(152)	19
Unidentified QIPP	(16,022)	(6,677)	0	(6,677)	0	(16,022)	(16,022)
<b>Total Reserves</b>	<b>35,691</b>	<b>12,664</b>	<b>11,435</b>	<b>1,229</b>	<b>28,481</b>	<b>7,209</b>	<b>2,361</b>
Public Health - Commissioning	99,035	41,075	40,943	132	98,942	93	(5)
Public Health - Admin	5,703	2,377	2,548	(171)	5,919	(216)	(263)
<b>Provider Arm Net Position</b>	<b>104,737</b>	<b>43,452</b>	<b>43,491</b>	<b>(39)</b>	<b>104,861</b>	<b>(124)</b>	<b>(269)</b>
<b>Total Expenditure</b>	<b>2,395,373</b>	<b>989,776</b>	<b>988,564</b>	<b>1,212</b>	<b>2,395,396</b>	<b>(24)</b>	<b>(8,592)</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>4,000</b>	<b>1,667</b>	<b>2,879</b>	<b>1,212</b>	<b>3,977</b>	<b>(24)</b>	<b>(8,592)</b>

Revenue Expenditure: Source and Application of Funds (BEN PCT)

Appendix 1a

	Annual Budget	Year to Date Budget M5	Year to Date Actual M5	Year to Date Variance	Forecast Outturn M5	Forecast Outturn Variance	Forecast Variance - Prev Mth
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>							
Confirmed Allocations	777,840	324,876	324,876	0	782,381	4,541	5,043
Anticipated Allocations	4,541	0	0	0	0	(4,541)	(5,043)
<b>Total Revenue Resource Limit</b>	<b>782,381</b>	<b>324,876</b>	<b>324,876</b>	<b>0</b>	<b>782,381</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>							
<u>Acute Services</u>							
University Hospitals Birmingham NHS FT	16,727	6,969	7,088	(119)	16,986	(259)	(119)
Birmingham Womens Hospital NHS FT	2,191	905	1,026	(121)	2,530	(339)	(339)
Birmingham Childrens Hospital NHS FT	11,184	4,660	4,660	0	11,184	(0)	(0)
Royal Orthopaedic Hospital NHS FT	5,092	2,122	2,337	(215)	5,092	(0)	(0)
Heart of England NHS FT	213,795	89,081	89,241	(160)	213,955	(160)	(160)
Sandwell and West Birmingham NHS Trust	22,854	9,523	9,615	(92)	23,234	(380)	(380)
West Midlands Ambulance Services NHS Trust	13,025	5,427	5,427	(0)	13,025	(0)	(0)
Worcester Acute Hospitals NHS Trust	229	96	71	25	229	0	0
Dudley Group of Hospitals NHS FT	226	94	89	5	226	(0)	(0)
Royal Wolverhampton Hospitals NHS Trust	281	117	90	27	281	(0)	(0)
Univ Hospitals Coventry & Warks NHS Trust	1,263	526	636	(110)	1,263	(0)	(0)
Walsall Hospitals NHS Trust	1,325	552	325	227	1,325	(0)	(0)
Other Acute Providers	3,810	1,588	1,556	31	3,862	(52)	0
Non Contracted Activity	3,819	1,591	1,592	(0)	3,819	0	(0)
Non NHS Providers	6,491	2,705	2,713	(8)	6,491	0	0
Dental Hospital	2,515	1,048	1,014	34	2,515	0	0
<b>Total Acute Services</b>	<b>304,826</b>	<b>127,003</b>	<b>127,479</b>	<b>(476)</b>	<b>306,017</b>	<b>(1,191)</b>	<b>(999)</b>
<u>Commissioned Specialised Services</u>							
Tier 1 & 2 Specialised Services	92,530	42,092	42,555	(464)	92,819	(289)	(32)
<b>Total Specialised Services</b>	<b>92,530</b>	<b>42,092</b>	<b>42,555</b>	<b>(464)</b>	<b>92,819</b>	<b>(289)</b>	<b>(32)</b>
<u>Commissioned Community Services</u>							
Birmingham Community Healthcare NHS Trust	45,643	19,004	18,728	276	45,424	219	176
Heart of England NHS FT	590	246	246	0	590	0	0
University Hospitals Birmingham NHS FT	0	0	0	0	0	0	0
Services from other PCTs	1,520	843	818	25	1,495	25	25
Services from other Acute Providers	469	195	213	(17)	511	(42)	(35)
Prison Healthcare	0	0	0	0	0	0	0
Non NHS Providers	8,046	3,294	3,106	188	7,825	221	225
Complex Packages of Care	41,508	17,295	17,138	157	39,936	1,572	377
Free Nursing Care	4,617	1,924	1,932	(8)	4,617	0	0
Social Care & Reablement	6,803	2,835	2,718	116	6,524	279	189
Drug and Alcohol Action Team	0	0	0	0	0	0	0
Other (LDP Projects & Disinvestments)	850	354	118	236	283	567	567
<b>Total Community Services</b>	<b>110,047</b>	<b>45,990</b>	<b>45,017</b>	<b>973</b>	<b>107,205</b>	<b>2,842</b>	<b>1,524</b>
<u>Commissioned Mental Health Services</u>							
Birmingham & Solihull Mental Health NHS FT	42,115	17,548	17,620	(72)	42,288	(173)	(184)
Other Mental Health Trusts/PCTs	650	271	278	(7)	760	(110)	50
Non NHS Providers	1,491	705	656	50	1,517	(26)	(26)
CAMHS Grant (HoB Only)	753	628	628	(0)	753	0	0
<u>Commissioned Learning Disability Services</u>							
Learning Disability Service (pooled budget)	11,256	4,690	4,538	152	11,773	(517)	(543)
Coventry and Warwickshire Partnership NHS Trust	574	239	184	55	574	0	0
Other PCTs/NHS Trusts	0	0	0	0	0	0	0
Non NHS Providers	485	202	202	(0)	485	0	0
<b>Total Mental Health and LD Services</b>	<b>57,325</b>	<b>24,283</b>	<b>24,106</b>	<b>178</b>	<b>58,150</b>	<b>(825)</b>	<b>(702)</b>
<u>Commissioned Primary Care</u>							
Global Sum - GMS	23,593	9,831	9,879	(48)	23,709	(116)	(114)
PMS	9,552	3,980	3,991	(11)	9,550	2	3
QOF	9,353	3,897	3,908	(11)	9,393	(40)	(15)
GP Other	10,724	4,468	4,539	(70)	10,858	(134)	(36)
Enhanced Services	3,878	1,616	1,605	11	3,805	72	45
Dental Contractors	15,600	6,500	6,489	11	15,600	0	(0)
Pharmacy Contractors	14,274	5,948	5,897	51	14,258	16	0
Ophthalmic Contractors	5,170	2,154	2,271	(117)	5,210	(40)	(283)
Other (Earmarked Commitments)	0	0	0	0	0	0	0
<b>Total Primary Care Services</b>	<b>92,144</b>	<b>38,393</b>	<b>38,577</b>	<b>(184)</b>	<b>92,383</b>	<b>(239)</b>	<b>(400)</b>
<b>Prescribing</b>	<b>74,049</b>	<b>30,854</b>	<b>30,854</b>	<b>0</b>	<b>74,049</b>	<b>0</b>	<b>0</b>
<u>Corporate Budgets</u>							
Management Budgets	10,450	380	1,672	(1,292)	13,468	(3,018)	(3,976)
CCG - Management	2,548	762	524	238	2,548	(0)	(0)
<b>Total Corporate Budgets</b>	<b>12,998</b>	<b>1,142</b>	<b>2,195</b>	<b>(1,054)</b>	<b>16,016</b>	<b>(3,018)</b>	<b>(3,977)</b>
<u>Reserves</u>							
Contingency: Contract Risk	7,719	3,216	693	2,523	6,066	1,653	1,701
Non Recurrent Reserves	7,275	2,126	1,213	913	1,906	5,369	4,160
Planned Surplus	0	0	0	0	0	0	0
Other Reserves	1,935	806	1,012	(206)	1,412	523	(613)
Unidentified QIPP	(5,318)	(2,216)	0	(2,216)	0	(5,318)	(5,318)
<b>Total Reserves</b>	<b>11,611</b>	<b>3,932</b>	<b>2,918</b>	<b>1,014</b>	<b>9,384</b>	<b>2,227</b>	<b>(70)</b>
<u>Public Health - Commissioning</u>							
Public Health - Commissioning	24,336	10,140	10,169	(29)	24,501	(165)	(79)
Public Health - Admin	1,515	631	782	(151)	1,651	(136)	(131)
<b>Total Public Health</b>	<b>25,851</b>	<b>10,771</b>	<b>10,951</b>	<b>(180)</b>	<b>26,152</b>	<b>(301)</b>	<b>(210)</b>
<b>Total Expenditure</b>	<b>781,381</b>	<b>324,459</b>	<b>324,653</b>	<b>(194)</b>	<b>782,175</b>	<b>(794)</b>	<b>(4,866)</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>1,000</b>	<b>417</b>	<b>223</b>	<b>(194)</b>	<b>206</b>	<b>(794)</b>	<b>(4,866)</b>

Revenue Expenditure: Source and Application of Funds (HoB PCT)

Appendix 1b

	Annual Budget	Year to Date Budget M5	Year to Date Actual M5	Year to Date Variance	Forecast Outturn M5	Forecast Outturn Variance	Forecast Variance - Prev Mth
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>							
Confirmed Allocations	622,324	245,395	245,395	0	593,810	(28,514)	(26,040)
Anticipated Allocations	(28,514)	0	0	0	0	28,514	26,040
<b>Total Revenue Resource Limit</b>	<b>593,810</b>	<b>245,395</b>	<b>245,395</b>	<b>0</b>	<b>593,810</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>							
<u>Acute Services</u>							
University Hospitals Birmingham NHS FT	24,214	10,089	10,688	(599)	25,884	(1,670)	(1,444)
Birmingham Womens Hospital NHS FT	8,975	3,705	3,836	(131)	9,311	(336)	(336)
Birmingham Childrens Hospital NHS FT	15,823	6,593	6,130	463	15,823	0	0
Royal Orthopaedic Hospital NHS FT	2,942	1,226	1,166	60	2,942	0	0
Heart of England NHS FT	27,061	11,281	11,281	0	27,061	(0)	0
Sandwell and West Birmingham NHS Trust	88,667	36,944	37,331	(387)	90,252	(1,585)	(1,585)
West Midlands Ambulance Services NHS Trust	11,115	4,631	4,631	0	11,115	(0)	(0)
Worcester Acute Hospitals NHS Trust	136	57	44	13	136	0	0
Dudley Group of Hospitals NHS FT	264	110	123	(13)	264	0	0
Royal Wolverhampton Hosps NHS Trust	141	59	75	(16)	141	(0)	(0)
Univ Hospitals Coventry & Warks NHS Trust	343	143	105	38	343	0	0
Walsall Hospitals NHS Trust	731	305	281	44	731	0	0
Other Acute Providers	657	162	(2)	164	390	267	267
Non Contracted Activity	2,787	1,161	1,161	0	2,787	(0)	(0)
Non NHS Providers	2,342	972	962	9	2,342	0	0
Dental Hospital	2,008	837	815	22	2,008	(0)	(0)
<b>Total Acute Services</b>	<b>188,206</b>	<b>78,275</b>	<b>78,608</b>	<b>(333)</b>	<b>191,530</b>	<b>(3,324)</b>	<b>(3,097)</b>
<u>Commissioned Specialised Services</u>							
Tier 1 & 2 Specialised Services	84,527	34,960	35,317	(357)	84,689	(162)	(40)
<b>Total Specialised Services</b>	<b>84,527</b>	<b>34,960</b>	<b>35,317</b>	<b>(357)</b>	<b>84,689</b>	<b>(162)</b>	<b>(40)</b>
<u>Commissioned Community Services</u>							
Birmingham Community Healthcare NHS Trust	34,455	14,333	14,269	65	34,429	26	0
Heart of England NHS FT	41	17	17	0	41	(0)	(0)
University Hospitals Birmingham NHS FT	0	0	0	0	0	0	0
Services from other PCTs	648	291	287	3	645	3	(0)
Services from other Acute Providers	409	170	170	0	409	(0)	(0)
Prison Healthcare	9,035	3,740	3,740	(0)	9,035	0	0
Non NHS Providers	1,679	700	688	12	1,652	27	(1)
Complex Packages of Care	19,507	8,128	8,054	74	18,768	739	177
Free Nursing Care	1,658	691	691	(0)	1,658	(0)	(0)
Social Care & Reablement	4,986	2,078	1,987	90	4,769	217	147
Drug and Alcohol Action Team	0	0	0	0	0	0	0
Other (LDP Projects & Disinvestments)	3,245	1,609	1,509	100	2,889	356	433
<b>Total Community Services</b>	<b>75,663</b>	<b>31,756</b>	<b>31,412</b>	<b>344</b>	<b>74,295</b>	<b>1,368</b>	<b>756</b>
<u>Commissioned Mental Health Services</u>							
Birmingham & Solihull Mental Health NHS FT	36,159	15,066	15,355	(289)	36,853	(694)	(678)
Other Mental Health Trusts/PCTs	1,178	491	558	(67)	1,355	(177)	(235)
Non NHS Providers	2,496	1,134	679	455	1,505	991	991
CAMHS Grant (HoB only)	948	362	362	0	948	(0)	(0)
<u>Commissioned Learning Disability Services</u>							
Learning Disability Service (pooled budget)	8,404	3,502	3,388	114	8,790	(386)	(406)
Coventry and Warwickshire Partnership NHS Trust	429	179	138	41	429	0	0
Other PCTs/ Trusts	0	0	0	0	0	0	0
Non NHS Providers	362	151	151	0	362	0	0
<b>Total Mental Health and LD Services</b>	<b>49,976</b>	<b>20,884</b>	<b>20,630</b>	<b>254</b>	<b>50,242</b>	<b>(266)</b>	<b>(328)</b>
<u>Commissioned Primary Care</u>							
Global Sum - GMS	6,854	2,856	2,882	(26)	6,876	(22)	(24)
PMS	21,959	9,149	9,147	3	21,955	4	14
QOF	6,038	2,516	2,516	(0)	6,038	0	(0)
GP Other	8,461	3,526	3,615	(89)	8,577	(116)	(109)
Enhanced Services	1,512	630	644	(14)	1,558	(46)	(46)
Dental Contractors	13,272	5,530	5,355	175	12,717	555	557
Pharmacy Contractors	10,855	4,523	4,381	142	11,096	(241)	0
Ophthalmic Contractors	6,097	2,540	2,605	(65)	6,262	(165)	(66)
Other (Earmarked Commitments)	0	0	0	0	0	0	0
<b>Total Primary Care Services</b>	<b>75,048</b>	<b>31,270</b>	<b>31,144</b>	<b>125</b>	<b>75,079</b>	<b>(31)</b>	<b>326</b>
<b>Prescribing</b>	<b>44,421</b>	<b>18,509</b>	<b>18,180</b>	<b>329</b>	<b>43,941</b>	<b>480</b>	<b>(29)</b>
<u>Corporate Budgets</u>							
Management Budgets	18,969	6,527	6,465	62	19,409	(440)	(1,266)
CCG - Management	2,144	593	280	313	2,144	(0)	(0)
<b>Total Corporate Budgets</b>	<b>21,112</b>	<b>7,120</b>	<b>6,745</b>	<b>375</b>	<b>21,553</b>	<b>(441)</b>	<b>(1,267)</b>
<u>Reserves</u>							
Contingency: Contract Risk	6,204	2,585	979	1,606	2,023	4,181	3,425
Non Recurrent Reserves	1,012	358	294	64	610	402	292
Planned Surplus	0	0	0	0	0	0	0
Other Reserves	2,044	854	1,569	(715)	2,049	(5)	567
Unidentified QIPP	(3,931)	(1,638)	0	(1,638)	0	(3,931)	(3,931)
<b>Total Reserves</b>	<b>5,329</b>	<b>2,159</b>	<b>2,842</b>	<b>(683)</b>	<b>4,682</b>	<b>647</b>	<b>353</b>
Public Health - Commissioning	46,028	19,003	18,924	79	45,825	202	(2)
Public Health - Admin	2,501	1,042	1,074	(31)	2,581	(80)	(99)
<b>Provider Arm Net Position</b>	<b>48,528</b>	<b>20,045</b>	<b>19,998</b>	<b>48</b>	<b>48,406</b>	<b>122</b>	<b>(102)</b>
<b>Total Expenditure</b>	<b>592,810</b>	<b>244,978</b>	<b>244,875</b>	<b>103</b>	<b>594,417</b>	<b>(1,607)</b>	<b>(3,428)</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>1,000</b>	<b>417</b>	<b>520</b>	<b>103</b>	<b>(607)</b>	<b>(1,607)</b>	<b>(3,428)</b>

Revenue Expenditure: Source and Application of Funds (Solihull PCT)

Appendix 1c

	Annual Budget	Year to Date Budget M5	Year to Date Actual M5	Year to Date Variance	Forecast Outturn M5	Forecast Outturn Variance	Forecast Variance - Prev Mth
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>							
Confirmed Allocations	336,904	145,156	145,156	0	355,869	18,965	18,951
Anticipated Allocations	18,965	0	0	0	0	(18,965)	(18,951)
<b>Total Revenue Resource Limit</b>	<b>355,869</b>	<b>145,156</b>	<b>145,156</b>	<b>0</b>	<b>355,869</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>							
<u>Acute Services</u>							
University Hospitals Birmingham NHS FT	8,710	3,629	3,843	(214)	9,306	(596)	(464)
Birmingham Womens Hospital NHS FT	838	349	474	(125)	1,111	(273)	(273)
Birmingham Childrens Hospital NHS FT	2,342	976	964	12	2,342	0	0
Royal Orthopaedic Hospital NHS FT	1,793	747	679	68	1,793	(0)	(0)
Heart of England NHS FT	104,350	43,487	43,487	0	104,350	(0)	(0)
Sandwell and West Birmingham NHS Trust	2,911	1,213	1,253	(40)	3,071	(160)	(160)
West Midlands Ambulance Services NHS Trust	5,606	2,336	2,336	0	5,606	0	0
Worcester Acute Hospitals NHS Trust	821	342	367	(25)	821	(0)	(0)
Dudley Group of Hospitals NHS FT	127	53	49	4	127	(0)	(0)
Royal Wolverhampton Hosps NHS Trust	0	0	0	0	0	0	0
Univ Hospitals Coventry & Warks NHS Trust	3,316	1,382	1,375	7	3,316	(0)	(0)
Walsall Hospitals NHS Trust	94	39	22	17	94	0	0
Other Acute Providers	3,021	1,259	1,200	59	3,021	0	0
Non Contracted Activity	2,251	938	938	0	2,251	0	0
Non NHS Providers	4,417	1,840	1,841	(0)	4,417	(0)	(0)
Dental Hospital	715	298	292	6	715	0	0
<b>Total Acute Services</b>	<b>141,312</b>	<b>58,888</b>	<b>59,120</b>	<b>(232)</b>	<b>142,341</b>	<b>(1,029)</b>	<b>(897)</b>
<u>Commissioned Specialised Services</u>							
Tier 1 & 2 Specialised Services	35,165	14,332	14,536	(203)	35,353	(188)	(3)
<b>Total Specialised Services</b>	<b>35,165</b>	<b>14,332</b>	<b>14,536</b>	<b>(203)</b>	<b>35,353</b>	<b>(188)</b>	<b>(3)</b>
<u>Commissioned Community Services</u>							
Birmingham Community Healthcare NHS Trust	870	363	345	18	866	4	0
Heart of England NHS FT	25,208	10,529	10,531	(2)	25,214	(6)	(8)
University Hospitals Birmingham NHS FT	0	0	0	0	0	0	0
Services from other PCTs	70	29	29	(0)	70	(0)	70
Services from other Acute Providers	296	123	73	50	296	(0)	(0)
Prison Healthcare	0	0	0	0	0	0	0
Non NHS Providers	3,218	1,321	1,542	(221)	3,135	83	83
Complex Packages of Care	16,836	7,015	7,015	(0)	16,352	484	0
Free Nursing Care	1,392	580	580	0	1,392	0	0
Social Care & Reablement	2,999	1,250	1,196	53	2,871	128	0
Drug and Alcohol Action Team	0	0	0	0	0	0	0
Other (LDP Projects & Disinvestments)	350	146	146	0	350	0	0
<b>Total Community Services</b>	<b>51,240</b>	<b>21,355</b>	<b>21,457</b>	<b>(102)</b>	<b>50,546</b>	<b>694</b>	<b>146</b>
<u>Commissioned Mental Health Services</u>							
Birmingham & Solihull Mental Health NHS FT	13,402	5,584	5,447	137	13,196	206	195
Other Mental Health Trusts/PCTs	228	95	116	(21)	280	(52)	(66)
Non NHS Providers	3,097	1,291	1,227	63	2,944	153	84
CAMHS Grant (HoB only)	0	0	0	0	0	0	0
<u>Commissioned Learning Disability Services</u>							
Learning Disability Service (pooled budget)	0	0	0	0	0	0	0
Coventry and Warwickshire Partnership	6,816	2,840	2,728	112	6,663	153	153
Other PCTs/ Trusts	0	0	0	0	0	0	0
Non NHS Providers	2,978	1,241	1,205	36	2,826	152	0
<b>Total Mental Health and LD Services</b>	<b>26,521</b>	<b>11,051</b>	<b>10,723</b>	<b>327</b>	<b>25,909</b>	<b>612</b>	<b>366</b>
<u>Commissioned Primary Care</u>							
Global Sum - GMS	8,214	3,423	3,422	1	8,214	0	0
PMS	8,091	3,371	3,366	6	8,071	20	0
QOF	4,930	2,054	2,054	0	4,930	0	0
GP Other	5,857	2,248	2,241	8	5,835	22	12
Enhanced Services	2,614	1,089	1,077	12	2,582	32	20
Dental Contractors	6,410	2,671	2,684	(13)	6,441	(31)	64
Pharmacy Contractors	7,260	3,025	3,038	(13)	7,260	0	0
Ophthalmic Contractors	2,505	1,044	1,028	16	2,467	38	18
Other (Earmarked Commitments)	0	0	0	0	0	0	0
<b>Total Primary Care Services</b>	<b>45,881</b>	<b>18,925</b>	<b>18,908</b>	<b>17</b>	<b>45,800</b>	<b>81</b>	<b>114</b>
<b>Prescribing</b>	<b>34,505</b>	<b>14,154</b>	<b>14,108</b>	<b>46</b>	<b>34,403</b>	<b>102</b>	<b>0</b>
<u>Corporate Budgets</u>							
Management Budgets	6,017	521	647	(126)	6,899	(882)	(726)
CCG - Management	1,235	515	221	294	1,235	0	(47)
<b>Total Corporate Budgets</b>	<b>7,252</b>	<b>1,035</b>	<b>868</b>	<b>167</b>	<b>8,134</b>	<b>(882)</b>	<b>(773)</b>
<u>Reserves</u>							
Contingency: Contract Risk	3,348	1,395	435	960	2,131	1,217	897
Non Recurrent Reserves	3,284	961	550	411	1,319	1,965	1,873
Planned Surplus	0	0	0	0	0	0	0
Other Reserves	(17)	(6)	30	(36)	276	(293)	293
Unidentified QIPP	(2,358)	(983)	0	(983)	0	(2,358)	(2,358)
<b>Total Reserves</b>	<b>4,258</b>	<b>1,367</b>	<b>1,015</b>	<b>352</b>	<b>3,726</b>	<b>532</b>	<b>705</b>
Public Health - Commissioning	8,735	3,632	3,644	(13)	8,739	(4)	(4)
Public Health - Admin	0	0	0	0	0	0	0
<b>Provider Arm Net Position</b>	<b>8,735</b>	<b>3,632</b>	<b>3,644</b>	<b>(13)</b>	<b>8,739</b>	<b>(4)</b>	<b>(4)</b>
<b>Total Expenditure</b>	<b>354,869</b>	<b>144,739</b>	<b>144,380</b>	<b>359</b>	<b>354,951</b>	<b>(82)</b>	<b>(345)</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>1,000</b>	<b>417</b>	<b>776</b>	<b>359</b>	<b>918</b>	<b>(82)</b>	<b>(345)</b>

	Annual Budget	Year to Date Budget M5	Year to Date Actual M5	Year to Date Variance	Forecast Outturn M5	Forecast Outturn Variance	Forecast Variance - Prev Mth
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>							
Confirmed Allocations	673,128	276,017	276,017	0	667,313	(5,815)	(2,100)
Anticipated Allocations	(5,815)	0	0	0	0	5,815	2,100
<b>Total Revenue Resource Limit</b>	<b>667,313</b>	<b>276,017</b>	<b>276,017</b>	<b>0</b>	<b>667,313</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>							
<u>Acute Services</u>							
University Hospitals Birmingham NHS FT	124,750	51,980	53,649	(1,669)	129,030	(4,280)	(2,157)
Birmingham Womens Hospital NHS FT	25,729	10,622	10,358	264	25,429	300	300
Birmingham Childrens Hospital NHS FT	16,504	6,877	6,601	276	16,504	0	0
Royal Orthopaedic Hospital NHS FT	17,954	7,481	7,406	75	17,954	(0)	(0)
Heart of England NHS FT	21,460	8,946	8,946	(0)	21,460	(0)	(0)
Sandwell and West Birmingham NHS Trust	19,035	7,931	7,912	20	18,955	80	80
West Midlands Ambulance Services NHS Trust	10,552	4,397	4,397	0	10,552	(0)	(0)
Worcester Acute Hospitals NHS Trust	3,378	1,408	1,404	4	3,378	0	0
Dudley Group of Hospitals NHS FT	857	357	348	9	857	(0)	(0)
Royal Wolverhampton Hosps NHS Trust	139	58	76	(18)	139	(0)	(0)
Univ Hospitals Coventry & Warks NHS Trust	252	105	104	1	252	(0)	(0)
Walsall Hospitals NHS Trust	451	188	98	90	451	0	0
Other Acute Providers	0	0	0	(0)	0	0	0
Non Contracted Activity	3,809	1,587	1,584	3	3,809	(0)	(0)
Non NHS Providers	4,674	1,947	1,929	18	4,674	(0)	(0)
Dental Hospital	1,639	683	669	14	1,639	0	0
<b>Total Acute Services</b>	<b>251,182</b>	<b>104,566</b>	<b>105,479</b>	<b>(913)</b>	<b>255,083</b>	<b>(3,901)</b>	<b>(1,779)</b>
<u>Commissioned Specialised Services</u>							
Tier 1 & 2 Specialised Services	82,189	34,088	34,492	(404)	82,408	(219)	(27)
<b>Total Specialised Services</b>	<b>82,189</b>	<b>34,088</b>	<b>34,492</b>	<b>(404)</b>	<b>82,408</b>	<b>(219)</b>	<b>(27)</b>
<u>Commissioned Community Services</u>							
Birmingham Community Healthcare NHS Trust	44,940	19,308	19,070	238	44,861	79	(0)
Heart of England NHS FT	0	0	0	0	0	0	0
University Hospitals Birmingham NHS FT	0	0	0	0	0	0	0
Services from other PCTs	1,902	1,053	1,050	3	1,899	3	(0)
Services from other Acute Providers	957	399	399	(0)	957	(0)	(0)
Prison Healthcare	0	0	0	0	0	0	0
Non NHS Providers	3,185	1,327	1,176	151	2,986	199	133
Complex Packages of care	27,118	11,299	11,197	103	26,092	1,026	246
Free Nursing care	4,515	1,881	1,881	0	4,515	(0)	(0)
Social Care & Reablement	5,677	2,365	2,264	102	5,433	244	165
Drug and Alcohol Action Team	0	0	0	0	0	0	0
Other (LDP Projects & Disinvestments)	1,730	721	513	208	1,206	524	406
<b>Total Community Services</b>	<b>90,023</b>	<b>38,354</b>	<b>37,550</b>	<b>804</b>	<b>87,949</b>	<b>2,074</b>	<b>950</b>
<u>Commissioned Mental Health and LD Services</u>							
Birmingham & Solihull Mental Health NHS FT	44,381	18,492	18,685	(193)	44,844	(463)	(467)
Other Mental Health Trusts/PCTs	1,056	440	416	24	995	61	31
Non NHS Providers	1,878	782	534	249	1,370	508	508
CAMHS Grant (HoB only)	633	264	264	0	633	0	0
<u>Commissioned Learning Disability Services</u>							
Learning Disability Service (pooled budget)	10,016	4,173	4,038	135	10,476	(460)	(484)
Coventry and Warwickshire Partnership	511	213	163	50	511	0	0
Other PCTs/ Trusts	0	0	0	0	0	0	0
Non NHS Providers	432	180	180	0	432	0	0
<b>Total Mental Health and LD Services</b>	<b>58,907</b>	<b>24,545</b>	<b>24,280</b>	<b>265</b>	<b>59,261</b>	<b>(354)</b>	<b>(412)</b>
<u>Commissioned Primary Care</u>							
Global Sum - GMS	20,927	8,720	8,788	(68)	21,091	(164)	(159)
PMS	6,813	2,839	2,850	(11)	6,839	(26)	(3)
QOF	8,500	3,542	3,590	(48)	8,616	(116)	(116)
GP Other	12,584	5,243	4,891	352	12,106	478	95
Enhanced Services	3,385	1,410	1,454	(44)	3,470	(85)	(39)
Dental Contractors	15,226	6,344	6,133	211	14,720	506	265
Pharmacy Contractors	10,944	4,560	4,548	12	10,902	42	0
Ophthalmic Contractors	3,277	1,365	1,322	44	3,172	105	139
Other (Commissioned Services)	0	0	0	0	0	0	0
<b>Total Primary Care Services</b>	<b>81,656</b>	<b>34,023</b>	<b>33,576</b>	<b>447</b>	<b>80,916</b>	<b>740</b>	<b>182</b>
<b>Prescribing</b>	<b>56,802</b>	<b>23,667</b>	<b>23,467</b>	<b>200</b>	<b>56,322</b>	<b>480</b>	<b>58</b>
<u>Corporate Budgets</u>							
Management Budgets	8,116	1,596	1,787	(191)	8,339	(223)	(346)
CCG Mgmt Allowance	1,322	551	469	82	1,322	0	0
<b>Total Corporate Budgets</b>	<b>9,438</b>	<b>2,146</b>	<b>2,256</b>	<b>(109)</b>	<b>9,661</b>	<b>(223)</b>	<b>(346)</b>
<u>Reserves</u>							
Contingency: Contract Risk	6,662	2,776	1,510	1,266	2,079	4,583	2,191
Non Recurrent Reserves	6,654	1,940	1,100	840	2,641	4,013	3,825
Planned Surplus	0	0	0	0	0	0	0
Other Reserves	5,591	2,330	2,049	281	5,969	(378)	(228)
Unidentified QIPP	(4,415)	(1,840)	0	(1,840)	0	(4,415)	(4,415)
<b>Total Reserves</b>	<b>14,492</b>	<b>5,206</b>	<b>4,659</b>	<b>547</b>	<b>10,689</b>	<b>3,803</b>	<b>1,373</b>
Public Health - Commissioning	19,936	8,301	8,206	95	19,877	59	80
Public Health - Admin	1,687	703	692	11	1,687	(0)	(33)
<b>Provider Arm Net Position</b>	<b>21,623</b>	<b>9,004</b>	<b>8,898</b>	<b>106</b>	<b>21,564</b>	<b>59</b>	<b>47</b>
<b>Total Expenditure</b>	<b>666,313</b>	<b>275,600</b>	<b>274,657</b>	<b>943</b>	<b>663,853</b>	<b>2,459</b>	<b>47</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>1,000</b>	<b>417</b>	<b>1,360</b>	<b>943</b>	<b>3,460</b>	<b>2,459</b>	<b>47</b>

**Provider Activity and Cost (Clusterwide)**

**Appendix 2**

**1. University Hospitals Birmingham NHS FT**

Activity	YTD (M4) Plan	YTD (M4) Actual	YTD (M4) Variance	Full Year Plan	Full Year Forecast	Full year Variance
A&E	25,042	26,698	(1,656)	76,347	80,903	(4,556)
Elective	9,494	10,453	(959)	28,944	31,676	(2,731)
Emergency	8,451	8,560	(109)	25,764	25,939	(175)
Outpatients (New)	25,355	27,936	(2,581)	77,302	84,655	(7,352)
Outpatients (Review)	94,410	100,662	(6,252)	287,834	305,036	(17,202)
Other / Public health	0	0	0	0	0	0
<b>Total</b>	<b>162,751</b>	<b>174,309</b>	<b>(11,558)</b>	<b>496,192</b>	<b>528,209</b>	<b>(32,017)</b>

Cost	YTD (M4) Plan £000	YTD (M4) Actual £000	YTD (M4) Variance £000	Full Year Plan £000	Full Year Forecast £000	Full year Variance £000
A&E	2,339	2,602	(263)	7,132	7,886	(754)
Elective	10,255	10,871	(616)	31,265	32,942	(1,677)
Emergency	17,052	17,039	12	51,987	51,634	353
Outpatients (New)	4,218	4,603	(385)	12,861	13,950	(1,089)
Outpatients (Review)	7,984	8,594	(611)	24,340	26,043	(1,703)
Other	15,349	16,088	(739)	46,802	48,736	(1,935)
Adjustments / P Health	367	367	0	1,111	1,111	0
<b>Total</b>	<b>57,563</b>	<b>60,165</b>	<b>(2,602)</b>	<b>175,497</b>	<b>182,302</b>	<b>(6,805)</b>

**2. Heart of England NHS FT**

Activity	YTD (M4) Plan	YTD (M4) Actual	YTD (M4) Variance	Full Year Plan	Full Year Forecast	Full year Variance
A&E	71,608	73,107	(1,499)	207,199	211,536	(4,337)
Elective	21,998	21,986	12	66,268	66,231	37
Emergency	34,428	35,390	(962)	103,800	106,964	(3,164)
Outpatients (New)	66,534	68,925	(2,391)	201,771	209,049	(7,278)
Outpatients (Review)	170,785	173,508	(2,723)	517,875	526,142	(8,267)
Other - Public health	7,674	7,435	239	23,212	22,489	723
<b>Total</b>	<b>373,027</b>	<b>380,351</b>	<b>(7,324)</b>	<b>1,120,125</b>	<b>1,142,412</b>	<b>(22,287)</b>

Cost	YTD (M4) Plan £000	YTD (M4) Actual £000	YTD (M4) Variance £000	Full Year Plan £000	Full Year Forecast £000	Full year Variance £000
A&E	6,465	6,779	(314)	18,706	19,615	(909)
Elective	22,552	23,367	(814)	67,855	70,288	(2,433)
Emergency	48,555	50,365	(1,810)	146,695	153,849	(7,154)
Outpatients (New)	11,251	11,549	(298)	34,127	35,032	(904)
Outpatients (Review)	14,447	14,643	(196)	43,839	44,440	(601)
Other	16,301	17,007	(705)	49,378	51,546	(2,168)
Adjustments - P Health	1,010	979	31	3,253	3,158	95
Adjustment Risk Share	0	(4,107)	628	0	(14,074)	14,074
<b>Total</b>	<b>120,582</b>	<b>120,582</b>	<b>(3,479)</b>	<b>363,853</b>	<b>363,853</b>	<b>0</b>

**3. Sandwell and West Birmingham Hospitals NHS Trust**

Activity	YTD (M4) Plan	YTD (M4) Actual	YTD (M4) Variance	Full Year Plan	Full Year Forecast	Full year Variance
A&E	26,789	25,107	1,682	102,758	96,305	6,453
Elective	5,394	5,364	30	21,875	22,366	(492)
Emergency	6,152	6,282	(130)	25,082	25,901	(818)
Outpatients (New)	17,600	17,576	24	72,517	72,420	97
Outpatients (Review)	45,710	44,125	1,585	181,850	175,546	6,304
Other / Public health	0	0	0	0	0	0
<b>Total</b>	<b>101,645</b>	<b>98,453</b>	<b>3,192</b>	<b>404,082</b>	<b>392,538</b>	<b>11,544</b>

Cost	YTD (M4) Plan £000	YTD (M4) Actual £000	YTD (M4) Variance £000	Full Year Plan £000	Full Year Forecast £000	Full year Variance £000
A&E	2,525	2,415	110	9,686	9,264	423
Elective	5,408	5,413	(5)	22,349	22,369	(21)
Emergency	9,455	9,633	(179)	39,198	39,917	(719)
Outpatients (New)	3,037	3,073	(36)	12,516	12,666	(150)
Outpatients (Review)	4,970	4,683	287	19,614	18,480	1,135
Other	8,049	8,730	(681)	32,180	34,893	(2,713)
Adjustments - P Health	0	0	0	0	0	0
<b>Total</b>	<b>33,443</b>	<b>33,947</b>	<b>(504)</b>	<b>135,544</b>	<b>137,589</b>	<b>(2,045)</b>

#### 4. Birmingham Children's Hospital NHS FT

Activity	YTD (M4) Plan	YTD (M4) Actual	YTD (M4) Variance	Full Year Plan	Full Year Forecast	Full year Variance
A&E	13,662	12,929	733	40,074	40,074	0
Elective	2,196	2,105	91	6,249	6,249	0
Emergency	3,333	3,162	171	9,722	9,722	0
Outpatients (New)	7,037	6,702	335	20,087	20,087	0
Outpatients (Review)	10,026	9,565	461	28,621	28,621	0
Other / Public health	0	0	0	0	0	0
<b>Total</b>	<b>36,254</b>	<b>34,463</b>	<b>1,791</b>	<b>104,753</b>	<b>104,753</b>	<b>0</b>

Cost	YTD (M4) Plan £000	YTD (M4) Actual £000	YTD (M4) Variance £000	Full Year Plan £000	Full Year Forecast £000	Full year Variance £000
A&E	1,297	1,228	70	3,805	3,805	0
Elective	3,086	2,957	129	8,781	8,781	0
Emergency	3,909	3,718	190	11,403	11,403	0
Outpatients (New)	1,137	1,083	54	3,266	3,266	0
Outpatients (Review)	1,091	1,041	50	3,096	3,096	0
Other	5,672	5,413	258	15,502	15,502	0
Adjustments - P Health	0	0	0	0	0	0
<b>Total</b>	<b>16,192</b>	<b>15,441</b>	<b>751</b>	<b>45,853</b>	<b>45,853</b>	<b>0</b>

#### 5. Birmingham Women's Hospital NHS FT

Activity	YTD (M4) Plan	YTD (M4) Actual	YTD (M4) Variance	Full Year Plan	Full Year Forecast	Full year Variance
A&E	0	0	0	0	0	0
Elective	802	749	53	2,455	2,311	144
Emergency	3,529	3,306	223	10,560	9,951	609
Outpatients (New)	14,741	15,518	(777)	47,324	48,845	(1,521)
Outpatients (Review)	17,882	18,702	(820)	52,612	58,513	(5,901)
Other / Public health	0	0	0	0	0	0
<b>Total</b>	<b>36,954</b>	<b>38,275</b>	<b>(1,321)</b>	<b>112,951</b>	<b>119,619</b>	<b>(6,668)</b>

Cost	YTD (M4) Plan £000	YTD (M4) Actual £000	YTD (M4) Variance £000	Full Year Plan £000	Full Year Forecast £000	Full year Variance £000
A&E	0	0	0	0	0	0
Elective	1,012	942	70	3,099	2,921	179
Emergency	5,865	5,645	221	17,548	16,968	580
Outpatients (New)	1,923	2,036	(114)	5,133	6,415	(1,282)
Outpatients (Review)	1,676	1,751	(76)	5,889	5,414	476
Other	2,009	2,185	(176)	6,062	6,665	(602)
Adjustments - P Health	265	269	(4)	798	801	(3)
<b>Total</b>	<b>12,750</b>	<b>12,828</b>	<b>(78)</b>	<b>38,531</b>	<b>39,183</b>	<b>(653)</b>

#### 6. Royal Orthopaedic Hospital NHS FT

Activity	YTD (M4) Plan	YTD (M4) Actual	YTD (M4) Variance	Full Year Plan	Full Year Forecast	Full year Variance
A&E	0	0	0	0	0	0
Elective	2,809	2,325	484	6,678	6,678	0
Emergency	324	491	(167)	162	162	0
Outpatients (New)	5,499	4,307	1,192	10,383	10,383	0
Outpatients (Review)	8,506	8,015	491	29,621	29,621	0
Other / Public health	72	68	5	0	0	0
<b>Total</b>	<b>17,209</b>	<b>15,206</b>	<b>2,004</b>	<b>46,843</b>	<b>46,843</b>	<b>0</b>

Cost	YTD (M4) Plan £000	YTD (M4) Actual £000	YTD (M4) Variance £000	Full Year Plan £000	Full Year Forecast £000	Full year Variance £000
A&E	0	0	0	0	0	0
Elective	5,921	5,988	(67)	18,270	18,270	0
Emergency	248	318	(71)	768	768	0
Outpatients (New)	798	573	226	1,634	1,634	0
Outpatients (Review)	864	931	(67)	3,321	3,321	0
Other	1,429	1,459	(30)	3,788	3,788	0
Adjustments - P Health	0	0	0	0	0	0
<b>Total</b>	<b>9,260</b>	<b>9,270</b>	<b>(9)</b>	<b>27,781</b>	<b>27,781</b>	<b>0</b>



	Annual Budget	YTD	Actual	Year to Date Variance	Forecast Outturn Variance
	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>					
Revenue resource limit	983,389	407,483	407,483	0	0
<b>Total Revenue Resource Limit</b>	<b>983,389</b>	<b>407,483</b>	<b>407,483</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>					
<u>Acute Services</u>					
University Hospitals Birmingham NHS FT	86,226	35,928	37,174	(1,246)	(3,249)
Birmingham Womens Hospital NHS FT	17,256	7,124	7,119	5	(177)
Birmingham Childrens Hospital NHS FT	20,535	8,556	8,187	369	0
Royal Orthopaedic Hospital NHS FT	13,674	5,697	5,741	(44)	0
Heart of England NHS FT	168,394	70,169	70,275	(106)	(106)
Sandwell and West Birmingham NHS Trust	28,367	11,819	11,915	(95)	(381)
West Midlands Ambulance Services NHS Trust	16,183	6,743	6,743	0	(0)
Worcester Acute Hospitals NHS Trust	983	410	389	21	0
Dudley Group of Hospitals NHS FT	812	338	329	10	(1)
Royal Wolverhampton Hospitals NHS Trust	250	104	97	7	(0)
Univ Hospitals Coventry & Warks NHS Trust	1,030	429	492	(63)	(0)
Walsall Hospitals NHS Trust	1,087	453	265	187	(0)
Bham Dental Hospital	2,878	1,199	1,166	34	0
Other Acute Providers	2,680	1,106	1,070	37	(11)
Non Contracted Activity	4,908	2,045	2,044	1	0
Non NHS Providers	7,422	3,092	3,086	6	0
<b>Total Acute Services</b>	<b>372,684</b>	<b>155,214</b>	<b>156,092</b>	<b>(878)</b>	<b>(3,924)</b>
<u>Commissioned Specialised Services</u>					
Specialised Services	119,730	52,202	52,791	(589)	(342)
<u>Commissioned Community Services</u>					
Birmingham Community Healthcare NHS Trust	60,959	25,730	25,394	335	199
Heart of England NHS FT	409	171	171	0	0
Services from other PCTs	2,221	1,224	1,205	19	19
Services from other Acute Providers	922	384	396	(12)	(29)
Prison Healthcare	849	351	351	(0)	0
Non NHS Providers	7,555	3,108	2,889	219	271
Complex Packages of Care	46,262	19,276	19,101	175	1,751
Free Nursing Care	5,976	2,490	2,496	(5)	(0)
Reablement	8,473	3,530	3,382	148	355
Other (LDP Projects & Disinvestments)	1,904	817	524	293	730
<b>Total Community Services</b>	<b>135,530</b>	<b>57,082</b>	<b>55,910</b>	<b>1,172</b>	<b>3,298</b>
<u>Commissioned Mental Health Services</u>					
Birmingham & Solihull Mental Health NHS FT	58,367	24,320	24,509	(190)	(455)
Other Mental Health Trusts/PCTs	1,177	490	487	3	(56)
Non NHS Providers	2,361	1,050	827	223	373
CAMHS Grant (HoB Only)	978	620	620	0	(0)
<u>Commissioned Learning Disability Services</u>					
Learning Disability Service (pooled budget)	14,399	6,000	5,805	194	(661)
Coventry and Warwickshire Partnership NHS Trust	734	306	235	71	0
Other PCTs/NHS Trusts	0	0	0	0	0
Non NHS Providers	621	259	259	(0)	0
<b>Total Mental Health and LD Services</b>	<b>78,636</b>	<b>33,044</b>	<b>32,743</b>	<b>301</b>	<b>(800)</b>
<u>Commissioned Primary Care</u>					
Global Sum - GMS	29,130	12,138	12,213	(75)	(178)
PMS	12,623	5,260	5,274	(14)	(13)
QOF	11,980	4,992	5,027	(36)	(96)
GP Other	15,544	6,477	6,327	150	178
Enhanced Services	4,792	1,997	2,016	(19)	(4)
Dental Contractors	20,897	8,707	8,559	148	349
Pharmacy Contractors	17,247	7,187	7,131	55	13
Ophthalmic Contractors	6,048	2,520	2,581	(61)	18
					0
<b>Total Primary Care Services</b>	<b>118,262</b>	<b>49,276</b>	<b>49,128</b>	<b>148</b>	<b>267</b>
<b>Prescribing</b>					
	<b>88,554</b>	<b>36,898</b>	<b>36,743</b>	<b>155</b>	<b>337</b>
<u>Corporate Budgets</u>					
Management Budgets	13,675	1,807	2,795	(988)	(2,229)
Other	2,716	899	659	240	0
<b>Total Corporate Budgets</b>	<b>16,391</b>	<b>2,707</b>	<b>3,454</b>	<b>(748)</b>	<b>(2,229)</b>
<u>Reserves</u>					
Contingency: Contract Risk	9,779	4,074	1,455	2,619	4,224
2% Non Recurrent Reserves	8,975	2,626	1,503	1,123	6,063
Other Reserves	4,838	2,002	2,046	(44)	167
Unidentified QIPP	(6,633)	(2,751)	0	(2,751)	(6,633)
<b>Total Reserves</b>	<b>16,959</b>	<b>5,952</b>	<b>5,004</b>	<b>948</b>	<b>3,820</b>
<u>Public Health - Commissioning</u>					
Public Health - Admin	32,741	13,622	13,579	43	(60)
	2,265	944	1,044	(100)	(101)
<b>Total Public Health</b>	<b>35,006</b>	<b>14,566</b>	<b>14,623</b>	<b>(57)</b>	<b>(161)</b>
<b>Total Expenditure</b>	<b>981,752</b>	<b>406,940</b>	<b>406,488</b>	<b>452</b>	<b>265</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>1,637</b>	<b>543</b>	<b>995</b>	<b>452</b>	<b>265</b>

	Annual Budget	YTD	Actual	Year to Date Variance	Forecast Outturn Variance
	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>					
Revenue resource limit	422,799	174,807	174,807	0	0
<b>Total Revenue Resource Limit</b>	<b>422,799</b>	<b>174,807</b>	<b>174,807</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>					
<b>Acute Services</b>					
University Hospitals Birmingham NHS FT	63,824	26,594	27,494	(900)	(2,331)
Birmingham Womens Hospital NHS FT	15,917	6,571	6,565	6	(157)
Birmingham Childrens Hospital NHS FT	12,581	5,242	4,862	380	0
Royal Orthopaedic Hospital NHS FT	8,337	3,474	3,499	(25)	0
Heart of England NHS FT	14,844	6,188	6,188	0	0
Sandwell and West Birmingham NHS Trust	16,821	7,009	7,061	(53)	(210)
West Midlands Ambulance Services NHS Trust	7,142	2,976	2,976	0	(0)
Worcester Acute Hospitals NHS Trust	2,601	1,084	1,078	5	0
Dudley Group of Hospitals NHS FT	255	106	105	1	(0)
Royal Wolverhampton Hospitals NHS Trust	88	36	47	(11)	(0)
Univ Hospitals Coventry & Warks NHS Trust	125	52	46	6	(0)
Walsall Hospitals NHS Trust	221	92	53	39	0
Bham Dental Hospital	1,180	492	480	11	0
Other Acute Providers	164	41	(0)	41	67
Non Contracted Activity	2,464	1,027	1,025	1	0
Non NHS Providers	2,519	1,048	1,039	10	0
<b>Total Acute Services</b>	<b>149,083</b>	<b>62,031</b>	<b>62,519</b>	<b>(488)</b>	<b>(2,631)</b>
<b>Commissioned Specialised Services</b>					
Specialised Services	55,120	22,837	23,093	(256)	(131)
<b>Total Specialised Services</b>	<b>55,120</b>	<b>22,837</b>	<b>23,093</b>	<b>(256)</b>	<b>(131)</b>
<b>Commissioned Community Services</b>					
Birmingham Community Healthcare NHS Trust	27,199	11,568	11,454	115	39
Heart of England NHS FT	10	4	4	0	0
Services from other PCTs	949	508	506	2	2
Services from other Acute Providers	498	207	207	0	0
Prison Healthcare	2,258	935	935	(0)	0
Non NHS Providers	1,737	724	658	65	89
Complex Packages of Care	16,092	6,705	6,644	61	609
Free Nursing Care	2,282	951	951	(0)	(0)
Reablement	3,594	1,498	1,433	65	155
Other (LDP Projects & Disinvestments)	1,527	700	589	111	306
<b>Total Community Services</b>	<b>56,145</b>	<b>23,800</b>	<b>23,382</b>	<b>419</b>	<b>1,200</b>
<b>Commissioned Mental Health Services</b>					
Birmingham & Solihull Mental Health NHS FT	27,394	11,414	11,566	(152)	(365)
Other Mental Health Trusts/PCTs	731	305	311	(7)	(19)
Non NHS Providers	1,401	607	390	216	458
CAMHS Grant (HoB Only)	499	200	199	0	(0)
<b>Commissioned Learning Disability Services</b>					
Learning Disability Service (pooled budget)	6,243	2,601	2,517	84	(287)
Coventry and Warwickshire Partnership NHS Trust	319	133	102	31	0
Non NHS Providers	269	112	112	0	0
<b>Total Mental Health and LD Services</b>	<b>36,855</b>	<b>15,372</b>	<b>15,199</b>	<b>173</b>	<b>(213)</b>
<b>Commissioned Primary Care</b>					
Global Sum - GMS	10,369	4,320	4,355	(35)	(73)
PMS	8,306	3,461	3,465	(4)	(10)
QOF	5,025	2,094	2,114	(20)	(48)
GP Other	7,320	3,050	2,926	123	169
Enhanced Services	1,778	741	762	(22)	(47)
Dental Contractors	9,615	4,006	3,875	131	348
Pharmacy Contractors	7,240	3,016	2,976	40	(43)
Ophthalmic Contractors	2,879	1,200	1,198	2	2
<b>Total Primary Care Services</b>	<b>52,530</b>	<b>21,888</b>	<b>21,671</b>	<b>216</b>	<b>298</b>
<b>Prescribing</b>	<b>34,698</b>	<b>14,458</b>	<b>14,290</b>	<b>167</b>	<b>320</b>
<b>Corporate Budgets</b>					
Management Budgets	8,204	2,332	2,395	(63)	(205)
Other	1,093	378	264	114	0
<b>Total Corporate Budgets</b>	<b>9,298</b>	<b>2,711</b>	<b>2,659</b>	<b>52</b>	<b>(205)</b>
<b>Reserves</b>					
Contingency: Contract Risk	4,289	1,787	866	922	2,929
2% Non Recurrent Reserves	2,991	888	526	362	1,752
Other Reserves	2,737	1,172	1,235	(63)	(231)
Unidentified QIPP	(2,724)	(1,166)	0	(1,166)	(2,724)
<b>Total Reserves</b>	<b>7,293</b>	<b>2,681</b>	<b>2,626</b>	<b>55</b>	<b>1,726</b>
Public Health - Commissioning	19,749	8,183	8,124	59	75
Public Health - Admin	1,323	551	555	(3)	(20)
<b>Total Public Health</b>	<b>21,072</b>	<b>8,734</b>	<b>8,678</b>	<b>56</b>	<b>55</b>
<b>Total Expenditure</b>	<b>422,095</b>	<b>174,511</b>	<b>174,118</b>	<b>393</b>	<b>420</b>
<b>Total Under/(Over) Spend v RRL</b>	<b>705</b>	<b>296</b>	<b>689</b>	<b>393</b>	<b>420</b>

Budget Monitoring 2012/13: Source and Application of Funds (Solihull CCG)

Month 5

Appendix 3c

	Annual Budget	YTD	Actual	Year to Date Variance	Forecast Outcome Variance
	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>					
Revenue resource limit	377,848	154,449	154,449	0	0
<b>Total Revenue Resource Limit</b>	<b>377,848</b>	<b>154,449</b>	<b>154,449</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>					
<b>Acute Services</b>					
University Hospitals Birmingham NHS FT	9,099	3,791	4,005	(214)	(596)
Birmingham Womens Hospital NHS FT	906	377	502	(125)	(273)
Birmingham Childrens Hospital NHS FT	2,470	1,029	1,017	12	0
Royal Orthopaedic Hospital NHS FT	1,897	790	722	68	0
Heart of England NHS FT	11,398	46,424	46,429	(5)	(5)
Sandwell and West Birmingham NHS Trust	3,125	1,302	1,342	(40)	(16)
West Midlands Ambulance Services NHS Trust	5,967	2,486	2,486	0	0
Worcester Acute Hospitals NHS Trust	828	345	369	(24)	(0)
Dudley Group of Hospitals NHS FT	141	59	54	4	(0)
Royal Wolverhampton Hospitals NHS Trust	0	0	0	0	(0)
Univ Hospitals Coventry & Warks NHS Trust	3,396	1,415	1,416	(0)	(0)
Walsall Hospitals NHS Trust	100	42	24	18	0
Beam Dental Hospital	785	327	320	7	0
Other Acute Providers	3,127	1,303	1,243	60	(1)
Non Contracted Activity	2,336	974	974	(0)	0
Non NHS Providers	4,597	1,915	1,916	(1)	0
<b>Total Acute Services</b>	<b>150,173</b>	<b>62,580</b>	<b>62,620</b>	<b>(240)</b>	<b>(1,633)</b>
<b>Commissioned Specialised Services</b>					
Specialised Services	37,730	15,499	15,715	(216)	(196)
<b>Total Specialised Services</b>	<b>37,730</b>	<b>15,499</b>	<b>15,715</b>	<b>(216)</b>	<b>(196)</b>
<b>Commissioned Community Services</b>					
Birmingham Community Healthcare NHS Trust	2,135	889	864	25	10
Heart of England NHS FT	25,224	10,535	10,538	(2)	(6)
Services from other PCTs	112	52	52	1	1
Services from other Acute Providers	309	129	79	50	(1)
Non NHS Providers	3,441	1,413	1,628	(215)	89
Complex Packages of Care	17,986	7,494	7,490	4	528
Free Nursing Care	1,520	633	634	(0)	0
Reablement	189	79	75	3	8
Carers Support	2,999	1,250	1,196	53	128
Other (LDP Projects & Disinvestments)	374	156	149	7	16
<b>Total Community Services</b>	<b>54,289</b>	<b>22,630</b>	<b>22,705</b>	<b>(75)</b>	<b>772</b>
<b>Commissioned Mental Health Services</b>					
Birmingham & Solihull Mental Health NHS FT	14,569	6,071	5,936	135	201
Other Mental Health Trusts/PCTs	246	102	123	(21)	(55)
Non NHS Providers	3,138	1,310	1,245	65	152
CAMHS Grant (H&B Only)	21	17	17	(0)	0
<b>Commissioned Learning Disability Services</b>					
Learning Disability Service (pooled budget)	312	130	126	4	(14)
Coventry and Warwickshire Partnership NHS Trust	6,832	2,847	2,733	114	153
Non NHS Providers	2,991	1,246	1,210	36	152
<b>Total Mental Health and LD Services</b>	<b>28,110</b>	<b>11,724</b>	<b>11,391</b>	<b>332</b>	<b>589</b>
<b>Commissioned Primary Care</b>					
Global Sum - GMS	8,868	3,695	3,696	(1)	(3)
PMS	8,356	3,482	3,476	5	20
QOF	5,189	2,162	2,162	0	(1)
GP Other	6,154	2,372	2,366	6	18
Enhanced Services	2,721	1,134	1,121	13	34
Dental Contractors	6,842	2,851	2,864	(12)	(31)
Pharmacy Contractors	7,656	3,190	3,201	(12)	0
Ophthalmic Contractors	2,648	1,103	1,091	12	37
<b>Total Primary Care Services</b>	<b>48,435</b>	<b>19,989</b>	<b>19,977</b>	<b>12</b>	<b>74</b>
<b>Prescribing</b>	<b>36,858</b>	<b>15,134</b>	<b>15,088</b>	<b>46</b>	<b>102</b>
<b>Corporate Budgets</b>					
Management Budgets	6,283	531	690	(159)	(959)
Other	1,300	534	234	300	0
<b>Total Corporate Budgets</b>	<b>7,583</b>	<b>1,065</b>	<b>924</b>	<b>140</b>	<b>(959)</b>
<b>Reserves</b>					
Contingency: Contract Risk	3,569	1,487	455	1,032	1,264
Non Recurrent Reserves	3,577	1,022	585	437	2,264
Other Reserves	39	17	89	(42)	(278)
Undertaken QIPP	(2,595)	(1,046)	0	(1,046)	(2,595)
<b>Total Reserves</b>	<b>4,589</b>	<b>1,479</b>	<b>1,098</b>	<b>381</b>	<b>595</b>
<b>Public Health - Commissioning</b>	<b>9,409</b>	<b>3,913</b>	<b>3,926</b>	<b>(14)</b>	<b>(9)</b>
Public Health - Admin	42	17	22	(4)	(4)
<b>Total Public Health</b>	<b>9,451</b>	<b>3,930</b>	<b>3,948</b>	<b>(18)</b>	<b>(12)</b>
<b>Total Expenditure</b>	<b>377,218</b>	<b>154,030</b>	<b>153,668</b>	<b>362</b>	<b>(71)</b>
<b>Total Under/(Over) v RRL</b>	<b>630</b>	<b>419</b>	<b>781</b>	<b>362</b>	<b>(71)</b>














	Annual Budget	YTD	Actual	Year to Date	Forecast
	£'000	£'000	£'000	Variance	Outturn
				£'000	Variance
	£'000	£'000	£'000	£'000	£'000
<b>SOURCES</b>					
Revenue resource limit	226,357	93,956	93,956	0	0
<b>Total Revenue Resource Limit</b>	<b>226,357</b>	<b>93,956</b>	<b>93,956</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>					
<b>Acute Services</b>					
University Hospitals Birmingham NHS FT	5,107	2,128	2,209	(81)	(212)
Birmingham Womens Hospital NHS FT	511	211	211	0	(5)
Birmingham Childrens Hospital NHS FT	2,062	859	1,079	(220)	0
Royal Orthopaedic Hospital NHS FT	2,387	986	991	(5)	0
Heart of England NHS FT	65,042	27,101	27,150	(49)	(48)
Sandwell and West Birmingham NHS Trust	4,521	1,884	1,896	(12)	(48)
West Midlands Ambulance Services NHS Trust	3,712	1,547	1,547	(0)	(0)
Worcester Acute Hospitals NHS Trust	52	22	16	6	0
Dudley Group of Hospitals NHS FT	37	15	15	1	(0)
Royal Wolverhampton Hospitals NHS Trust	107	45	34	10	(0)
Levy Hospitals Coventry & Warks NHS Trust	384	180	193	(33)	(0)
Walsall Hospitals NHS Trust	555	231	136	95	(0)
Bham Dental Hospital	717	299	289	10	0
Other Acute Providers	1,086	452	444	9	(15)
Non Contracted Activity	1,304	543	544	(0)	0
Non NHS Providers	1,850	771	773	(2)	0
<b>Total Acute Services</b>	<b>89,416</b>	<b>37,255</b>	<b>37,627</b>	<b>(272)</b>	<b>(329)</b>
<b>Commissioned Specialised Services</b>					
Specialised Services	26,374	11,997	12,129	(132)	(82)
<b>Total Specialised Services</b>	<b>26,374</b>	<b>11,997</b>	<b>12,129</b>	<b>(132)</b>	<b>(82)</b>
<b>Commissioned Community Services</b>					
Birmingham Community Healthcare NHS Trust	13,010	5,417	5,338	79	62
Heart of England NHS FT	168	70	70	0	0
Services from other PCTs	433	240	233	7	7
Services from other Acute Providers	134	56	61	(5)	(12)
Non NHS Providers	2,293	939	885	54	53
Complex Packages of Care	11,831	4,930	4,855	45	448
Free Nursing Care	1,316	548	551	(2)	0
Reablement	1,939	808	775	33	80
Other (LDP Projects & Disinvestments)	242	101	34	67	162
<b>Total Community Services</b>	<b>31,366</b>	<b>13,108</b>	<b>12,831</b>	<b>277</b>	<b>810</b>
<b>Commissioned Mental Health Services</b>					
Birmingham & Solihull Mental Health NHS FT	12,004	5,002	5,022	(21)	(49)
Other Mental Health Trusts/PCTs	185	77	79	(2)	(31)
Non NHS Providers	425	201	187	14	(7)
<b>Commissioned Learning Disability Services</b>					
Learning Disability Service (pooled budget)	3,208	1,337	1,293	43	(147)
<b>Total Mental Health and LD Services</b>	<b>16,339</b>	<b>6,921</b>	<b>6,871</b>	<b>51</b>	<b>(235)</b>
<b>Commissioned Primary Care</b>					
Global Sum - GMS	6,725	2,802	2,816	(14)	(33)
PMS	2,723	1,134	1,138	(3)	1
QOF	2,656	1,111	1,114	(3)	(11)
GP Other	3,057	1,274	1,284	(20)	(38)
Enhanced Services	1,105	461	457	3	21
Dental Contractors	4,446	1,853	1,850	3	0
Pharmacy Contractors	4,068	1,695	1,681	15	5
<b>Total Primary Care Services</b>	<b>26,264</b>	<b>10,943</b>	<b>10,996</b>	<b>(52)</b>	<b>(68)</b>
<b>Prescribing</b>	<b>21,687</b>	<b>9,038</b>	<b>9,036</b>	<b>0</b>	<b>0</b>
<b>Corporate Budgets</b>					
Management Budgets	3,062	111	490	(378)	(884)
Other	746	223	153	70	0
<b>Total Corporate Budgets</b>	<b>3,808</b>	<b>334</b>	<b>643</b>	<b>(309)</b>	<b>(884)</b>
<b>Reserves</b>					
Contingency: Contract Risk	2,232	930	200	730	478
2% Non Recurrent Reserves	2,104	615	351	264	1,553
Other Reserves	480	233	293	(60)	72
Unidentified QIPP	(1,458)	(641)	0	(641)	(1,458)
<b>Total Reserves</b>	<b>3,358</b>	<b>1,137</b>	<b>844</b>	<b>293</b>	<b>644</b>
Public Health - Commissioning	6,936	2,890	2,899	(9)	(47)
Public Health - Admin	432	180	223	(43)	(39)
<b>Total Public Health</b>	<b>7,368</b>	<b>3,070</b>	<b>3,121</b>	<b>(51)</b>	<b>(86)</b>
<b>Total Expenditure</b>	<b>225,981</b>	<b>93,803</b>	<b>93,999</b>	<b>(195)</b>	<b>(230)</b>
<b>Total Under/Over Spend v RRL</b>	<b>376</b>	<b>153</b>	<b>(42)</b>	<b>(195)</b>	<b>(230)</b>

	Annual Budget	YTD	Actual	Year to Date	Forecast
	£'000	£'000	£'000	£'000	Outturn Variance
<b>SOURCES</b>					
Revenue resource limit	388,978	160,748	160,748	0	0
<b>Total Revenue Resource Limit</b>	<b>388,978</b>	<b>160,748</b>	<b>160,748</b>	<b>0</b>	<b>0</b>
<b>APPLICATIONS</b>					
<b>Acute Services</b>					
University Hospitals Birmingham NHS FT	10,144	4,237	4,387	(160)	(417)
Birmingham Womens Hospital NHS FT	3,142	1,297	1,298	1	(37)
Birmingham Childrens Hospital NHS FT	8,205	3,419	3,211	208	0
Royal Orthopaedic Hospital NHS FT	1,505	627	633	(6)	(6)
Heart of England NHS FT	6,987	2,913	2,913	0	0
Sandwell and West Birmingham NHS Trust	80,613	33,897	33,897	(300)	(1,246)
West Midlands Ambulance Services NHS Trust	7,202	3,038	3,038	0	(8)
Worcester Acute Hospital NHS Trust	100	42	32	10	0
Dudley Group of Hospitals NHS FT	228	95	106	(11)	0
Royal Wolverhampton Hospital NHS Trust	116	48	61	(13)	(6)
Univ Hospitals Coventry & Warwick NHS Trust	238	89	73	26	0
Walsall Hospital NHS Trust	689	366	228	26	0
Burns Special Hospital	1,317	549	538	14	0
Other Acute Providers	431	107	(7)	108	175
Non Contracted Activity	1,654	689	689	0	0
Non NHS Providers	1,537	637	631	6	0
<b>Total Acute Services</b>	<b>124,163</b>	<b>51,650</b>	<b>51,729</b>	<b>(79)</b>	<b>(1,625)</b>
<b>Specialised Services</b>					
Specialised Services	55,437	22,937	23,171	(234)	(106)
<b>Total Specialised Services</b>	<b>55,437</b>	<b>22,937</b>	<b>23,171</b>	<b>(234)</b>	<b>(106)</b>
<b>Commissioned Community Services</b>					
Birmingham Community Healthcare NHS Trust	22,606	9,404	9,361	43	17
Heart of England NHS FT	27	11	11	0	0
Services from other PCTs	451	191	189	2	2
Services from other Acute Providers	268	112	112	0	0
Pfizer Healthcare	5,933	2,454	2,454	(5)	(5)
Non-NHS Providers	1,102	469	451	8	18
Complex Packages of Care	12,798	5,333	5,284	48	485
Pain Nursing Care	1,088	453	453	(6)	(6)
Healthcare	3,271	1,363	1,304	59	142
Other IDEF Projects & Disinvestments	2,123	1,056	990	66	234
<b>Total Community Services</b>	<b>49,643</b>	<b>20,835</b>	<b>20,600</b>	<b>235</b>	<b>888</b>
<b>Commissioned Mental Health Services</b>					
Birmingham & Solihull Mental Health NHS FT	23,724	9,885	10,075	(190)	(465)
Other Mental Health Trusts/PCTs	773	322	366	(44)	(116)
Non-NHS Providers	1,638	344	446	28	602
CAMHS Grant (HoB Only)	622	237	237	0	(5)
<b>Commissioned Learning Disability Services</b>					
Learning Disability Service (pooled budget)	5,914	2,297	2,220	75	(25)
Coventry and Warwickshire Partnership NHS Trust	281	117	99	27	0
Non-NHS Providers	238	99	99	0	0
<b>Total Mental Health and LD Services</b>	<b>32,283</b>	<b>13,702</b>	<b>13,530</b>	<b>166</b>	<b>(724)</b>
<b>Commissioned Primary Care</b>					
Global Sum - GMS	4,897	1,874	1,881	(17)	(110)
PHS	14,407	6,003	6,001	2	3
QIP	3,961	1,651	1,651	(6)	0
QIP Other	5,651	2,310	2,372	(69)	(76)
Enhanced Services	992	413	423	(9)	(30)
Dental Contractors	8,708	3,628	3,813	115	94
Pharmacy Contractors	7,122	2,967	2,875	93	(168)
Ophthalmic Contractors	4,000	1,687	1,709	(42)	(108)
<b>Total Primary Care Services</b>	<b>49,238</b>	<b>20,516</b>	<b>20,424</b>	<b>82</b>	<b>(21)</b>
<b>Prescription</b>					
Corporate Budgets	27,886	11,668	11,451	217	289
Management Budgets	12,308	4,242	4,202	40	(38)
Other	1,393	387	182	204	(6)
<b>Total Corporate Budgets</b>	<b>13,222</b>	<b>4,822</b>	<b>4,383</b>	<b>344</b>	<b>(288)</b>
<b>Reserves</b>					
Contingency - Contract Risk	4,054	1,893	641	1,052	2,739
2% Non Recurrent Reserves	663	236	193	42	283
Other Reserves	1,303	559	1,028	(468)	(18)
Underspent QIPP	(2,530)	(1,073)	0	(1,073)	(2,530)
<b>Total Reserves</b>	<b>3,491</b>	<b>1,414</b>	<b>1,862</b>	<b>(448)</b>	<b>424</b>
Public Health - Commissioning	30,199	12,468	12,418	52	133
Public Health - Admin	1,614	384	704	(320)	(21)
<b>Total Public Health</b>	<b>31,813</b>	<b>13,152</b>	<b>13,120</b>	<b>31</b>	<b>81</b>
<b>Total Expenditure</b>	<b>388,327</b>	<b>160,492</b>	<b>160,295</b>	<b>197</b>	<b>(627)</b>
<b>Total Under/Over Spend v BSL</b>	<b>654</b>	<b>264</b>	<b>451</b>	<b>197</b>	<b>(627)</b>

## Appendix 10


**Operating Framework 2012-13**  
**Birmingham & Solihull NHS Cluster**

PI Ref	Description	Green Target	Amber Target	Actual	VAR	Period
<b>OF - 1. Preventing people from dying prematurely</b>						
Cancer 31-day standard for subsequent cancer treatments (anti-cancer drug regimens) [Commissioners]						
B&S/PHQ08/1213	Cancer 31-day standard for subsequent cancer treatments (anti-cancer drug regimens) [B&S]	98	93	100		Jul 12
Cancer 31-day standard for subsequent cancer treatments (Radiotherapy) [Commissioners]						
B&S/PHQ09/1213	Cancer 31-day standard for subsequent cancer treatments (Radiotherapy) [B&S]	94	89	99		Jul 12
Cancer 31-day standard for subsequent cancer treatments (Surgery) [Commissioners]						
B&S/PHQ07/1213	Cancer 31-day standard for subsequent cancer treatments (Surgery) [B&S]	94	89	99		Jul 12
Cancer 31-day wait for first definitive treatment [Commissioners]						
B&S/PHQ06/1213	Cancer 31-day wait for first definitive treatment [B&S]	96	91	98		Jul 12
Cancer 62-day wait for an urgent GP referral for suspected cancer [Commissioners]						
B&S/PHQ03/1213	Cancer 62-day wait for an urgent GP referral for suspected cancer [B&S]	85	81	86		Jul 12
Cancer 62-day wait for first treatment following referral from an NHS cancer screening service [Commissioners]						
B&S/PHQ04/1213	Cancer 62-day wait for first treatment following referral from an NHS cancer screening service [B&S]	90	86	93		Jul 12
Cancer 62-day wait for first treatment for cancer following a consultants decision to upgrade the patient's priority [Commissioners]						
B&S/PHQ05/1213	Cancer 62-day wait for first treatment for cancer following a consultants decision to upgrade the patient's priority [B&S]	90	86	91		Jul 12
Category A 19 Minute Ambulance Response Time						
B&S/PHQ02/1213	Category A 19 Minute Ambulance Response Time [B&S]	95	90	99		Jul 12
Category A 8 Minute Ambulance Response Time						
B&S/PHQ01/1213	Category A 8 Minute Ambulance Response Time [B&S]	75	70	74		Jul 12
<b>OF - 2. Enhancing quality of life for people with long term conditions</b>						
Mental Health Measure - Care Programme Approach (CPA) 7 day follow up						
B&S/PHQ12/1213	Mental Health Measure - Care Programme Approach (CPA) 7 day follow up [B&S]	95	90	96		Qtr 1 12/13
Mental Health Measure - Crisis resolution home treatment episodes and admissions gatekept						
B&S/PHQ11/1213	Mental Health Measure - Crisis resolution home treatment episodes and admissions gatekept [B&S]			1125		Qtr 1 12/13
Mental Health Measure - Number of new cases of psychosis served by early intervention teams						
B&S/PHQ10/1213	Mental Health Measure - Number of new cases of psychosis served by early intervention teams [B&S]	57	54	56		Qtr 1 12/13
Unplanned hospitalisation for asthma, diabetes and epilepsy in under 19s [Commissioners]						
B&S/PHQ16/1213	Unplanned hospitalisation for asthma, diabetes and epilepsy in under 19s [B&S] (rolling 12 months rate per 100,000 population)			537		Jul 12
Unplanned hospitalisation for chronic ambulatory care sensitive conditions (adults) - rolling 12 month rates per 100,000						
B&S/PHQ15/1213	Unplanned hospitalisation for chronic ambulatory care sensitive conditions (adults) [B&S] (rolling 12 months rates per 100,000 population)			1095		Jul 12
<b>OF - 4. Ensuring that people have a positive experience of care</b>						
15 key diagnostic tests - number of patients waiting 6 weeks or more [Commissioner]						
B&S/PHQ22/1213	15 key diagnostic tests - number of patients waiting 6 weeks or more [B&S]	1		1		Jul 12
Cancer 2 week wait for referral for breast symptoms (where cancer was not initially suspected) [Commissioner]						
B&S/PHQ25/1213	Cancer 2 week wait for referral for breast symptoms (where cancer was not initially suspected) [B&S]	93	88	97		Jul 12
Cancer 2 week wait for urgent referral for suspected cancer [Commissioner]						
B&S/PHQ24/1213	Cancer 2 week wait for urgent referral for suspected cancer [B&S]	93	88	95		Jul 12
Mixed sex accommodation (MSA) breaches [Commissioner]						
B&S/PHQ26/1213	Mixed sex accommodation (MSA) breaches [B&S]	0		0		Jun 12
RTT - the percentage of admitted pathways within 18 weeks for admitted patients [Commissioner]						
B&S/PHQ19/1213	RTT - the percentage of admitted pathways within 18 weeks for admitted patients [B&S]	90	85	94		Jun 12
RTT - the percentage of incomplete pathways within 18 weeks for patients on incomplete pathways at the end of the period [Commissioner]						
B&S/PHQ21/1213	RTT - the percentage of incomplete pathways within 18 weeks for patients on incomplete pathways at the end of the period [B&S]	92	87	97		Jun 12
RTT - the percentage of non-admitted pathways within 18 weeks for non-admitted patients [Commissioner]						
B&S/PHQ20/1213	RTT - the percentage of non-admitted pathways within 18 weeks for non-admitted patients [B&S]	95	90	98		Jun 12
<b>OF - 5. Treating and caring for people in a safe environment and protecting them from avoidable harm</b>						
HCAI measure - Clostridium difficile infections [Commissioner]						
B&S/PHQ28/1213	HCAI measure - Clostridium difficile infections [B&S]	105	110	89		Jun 12
HCAI measure - MRSA [Commissioner]						
B&S/PHQ27/1213	HCAI measure - MRSA [B&S]	12	13	5		Jun 12
NHS Health Checks - eligible people who have been offered a check						
B&S/PHQ31a/1213	NHS Health Checks - eligible people who have been offered a check [B&S]	4	4	5		Qtr 1 12/13
NHS Health Checks - eligible people who have received a check						
B&S/PHQ31b/1213	NHS Health Checks - eligible people who have received a check [B&S]	2	2	2		Qtr 1 12/13
<b>OF - Local indicators</b>						

Breast feeding - Data quality of method of feeding at 6-8 weeks						
B&S/LOC04/1213	Breast feeding - Data quality of method of feeding at 6-8 weeks [B&S]	95	90	97		Qtr 1 12/13
Breast feeding - Prevalence of breast feeding at 6-8 weeks						
B&S/LOC03/1213	Breast feeding - Prevalence of breast feeding at 6-8 weeks [B&S]			47		Qtr 1 12/13
Diabetic Retinopathy Screening - offered (Commissioner)						
B&S/LOC08a/1213	Diabetic Retinopathy Screening - offered [B&S]	95	90	105		Qtr 1 12/13
Diabetic Retinopathy Screening - received (Commissioner)						
B&S/LOC08b/1213	Diabetic Retinopathy Screening - received [B&S]			77		Qtr 1 12/13
Stroke - Patients who spend at least 90% of their time on a stroke unit (Commissioner)						
B&S/LOC01/1213	Stroke - Patients who spend at least 90% of their time on a stroke unit [B&S]	80	75	84		Qtr 1 12/13
Stroke - Percentage of higher risk TIA cases who are treated within 24 hours (Commissioner)						
B&S/LOC02/1213	Stroke - Percentage of higher risk TIA cases who are treated within 24 hours [B&S]	60	55	69		Qtr 1 12/13
OF - Resources						
All first outpatient attendances						
B&S/PHS10/1213	All first outpatient attendances [B&S]	140,035	147,036	138109		Jul 12
Diagnostic activity - Endoscopy based tests [Commissioner]						
B&S/PHS14/1213	Diagnostic activity - Endoscopy based tests [B&S]	9,497		9022		Jul 12
Diagnostic activity - Non-endoscopy based tests [Commissioner]						
B&S/PHS15/1213	Diagnostic activity - Non-endoscopy based tests [B&S]	109,794		117204		Jul 12
Elective FFCEs						
B&S/PHS11/1213	Elective FFCEs [B&S]	51,135	53,691	53325		Jul 12
First outpatient attendances following GP referral						
B&S/PHS09/1213	First outpatient attendances following GP referral [B&S]	78,272	82,185	76192		Jul 12
GP written referrals to hospital						
B&S/PHS07/1213	GP written referrals to hospital [B&S]	85,768	90,056	96996		Jul 12
Non-elective FFCEs						
B&S/PHS06/1213	Non-elective FFCEs [B&S]	46,142	48,449	49927		Jul 12
Numbers waiting on an incomplete referral to treatment pathway [Commissioner]						
B&S/PHS16/1213	Numbers waiting on an incomplete referral to treatment pathway [B&S]	64,979	68,227	64829		Jul 12
Other referrals for a first outpatient appointment						
B&S/PHS08/1213	Other referrals for a first outpatient appointment [B&S]	61,102	64,157	71621		Jul 12

SUMMARY & KEY (number of projects)		Development	Implementation	Outcomes / benefits Savings	Outcomes / benefits Quality
Not Yet Started	0	4	10	19	30
Off Plan - intervention Required	1	3	3	4	0
Off Plan But Recoverable	2	8	15	13	6
On Plan	3	17	17	13	15
Stage Completed	4	19	6	2	0
Status To Be Confirmed	TBC	0	0	0	0
<b>TOTAL NUMBER OF PROJECTS</b>		<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>

SUMMARY & KEY (% of projects)		Development	Implementation	Outcomes / benefits Savings	Outcomes / benefits Quality
Not Yet Started	0	8%	20%	37%	59%
Off Plan - intervention Required	1	6%	6%	8%	0%
Off Plan But Recoverable	2	16%	29%	25%	12%
On Plan	3	33%	33%	25%	29%
Stage Completed	4	37%	12%	4%	0%
Status To Be Confirmed	TBC	0%	0%	0%	0%
<b>TOTAL NUMBER OF PROJECTS</b>		<b>100%</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>

**PROJECT PORTFOLIO STATUS**

**LONG TERM CONDITIONS**

Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
LTC-001	Expansion of systemised self management	558	4	2	2	0	
LTC-002	Redesign of diabetes diagnostic testing	100	4	3	0	3	
LTC-003	Respiratory improved management on long term oxygen therapy - Invoice Authorisation	50	4	4	2	3	
LTC-004	Falls pathway improved secondary prevention programme	250	3	0	0	0	
LTC-006	Targeted reduction in excess bed days	500	3	0	0	0	
LTC-005	Improved support to individuals in care homes	800	3	3	2	0	
<b>Total</b>		<b>2,258</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
162	132	(30)	81%		1,744	(514)	

**COMMENTS**

As agreed at the CCN meeting 04/09/12, following the recent restructure, CCGs will need to confirm how they will deliver Long Term Conditions - Project Leads within CCGs need to be identified or alternative arrangements found. The following updates are available:  
 LTC-001 - All Equipment and systems have been fully procured - now awaiting full deployment of equipment. 172 units have been deployed which is about 90 below target. It has been identified that there is staff resistance to the new systems. As a result of this a recovery plan has been agreed with BCHC which will enable additional support and training for nurses which will increase the level of commitment towards the new system.  
 LTC-002 - Confirmed that the project is specifically a quality initiative and will not deliver savings in 12/13  
 LTC-003 - Implementation in place. Savings now being tracked via data analyst - unclear if the savings will be delivered until data is available  
 LTC-004 / 005 - Project being led through the Frailty Board. There is currently a gap in project delivery (for B'Ham) - workstream and lead to be confirmed  
 LTC-006 - Due to restructure there is a current gap in project delivery. This will need to be picked up through contract negotiations with JCCGs via CCGs

**ALCOHOL**

Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
ALC-002	Birmingham Addiction Services alcohol programme	744	3	2	3	2	
<b>Total</b>		<b>744</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
252	252	0	100%		744	0	

**COMMENTS**

ALC -002 Frequent Flyers - Project progressing however not as many FF tracked as had been anticipated, however data on episodes of attendance now available and first three months data re hospital admissions also received and being analysed - Currently issue with data analysis capacity so this process may be delayed.  
 CQUIN - Meeting with provider re commissioner concern re volume of dependant drinkers picked up by screening and lack of conversion into treatment referrals. Follow up meeting to agree mitigation action plan to be convened. Potential for commissioner to evoke contract clause re non payment of CQUIN - to be considered.

**TOBACCO**

Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
TOB-001	Tobacco CQUIN, redesign of Birmingham stop smoking service and Tobacco control delivery plan	355	4	2	2	3	
<b>Total</b>		<b>355</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
116	116	0	100%		356	1	

**COMMENTS**

CQUINs now confirmed but expected savings will need recalculating due to differential uptake. Still expected to achieve target for 12/13. Process of re-modelling underway and business case being updated accordingly.

**URGENT CARE**

Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS
URG-001	Expected FYE of NHS Pathways	500	4	4	3	3



URG-003	Acute Medical Clinics	648	4	4	3	3	
URG-002	Avoidance of growth in HEFT contract (1% growth paid v planned 2.5%)	2,800	2	2	2	0	
<b>Total</b>		<b>3,948</b>					
<b>Plan Year to Date £'000</b>	<b>Actual Year to Date £'000</b>	<b>Variance Year to Date £'000</b>	<b>% Achieved to Date</b>	<b>RAG Rating Year to Date</b>	<b>Forecast Outturn £'000</b>	<b>Forecast Variance £'000</b>	<b>RAG Rating Forecast</b>
1,316	1,293	(23)	98%		3,871	(77)	
<b>COMMENTS</b>							
The two cluster wide projects for urgent care are currently on track to deliver, both in terms of the financial assumptions and the project milestones. Plans and projects for sustainability of the avoidance of growth in the HEFT contract (URG-002) are still to be formally articulated and cross referenced to the £2.8m, but redesign activity is continuing to take place. This has been delayed by the handover of the account arrangements to the new system wide urgent care commissioning team hosted by BXCCCG, but is being addressed with support from the PMO. Financial savings are however being realised via the contractual arrangements with HEFT.							

<b>PLANNED CARE</b>							
<b>Project No</b>	<b>Project Title</b>	<b>Savings Plan £'000</b>	<b>Development</b>	<b>Implementation</b>	<b>Outcomes / Benefits SAVINGS</b>	<b>Outcomes / benefits QUALITY IMPROVEMENTS</b>	
PLC-001	Avoidance of growth in HEFT contract (1% growth paid v planned 2.5%)	2,800	2	2	2	0	
PLC-002	Procedures of Limited Clinical Value	1,000	4	2	1	0	
PLC-003	Nurse Led Clinics	250	2	2	1	0	
PLC-004	Demand Management (Peer Review)	2,000	2	2	0	0	
PLC-005	Advice and Guidance	500	3	0	0	0	
PLC-006	New to Follow Ups	1,500	2	1	0	0	
PLC-007	Direct Access Diagnostics	250	3	3	0	0	
PLC-008	Ophthalmology - Glaucoma Refinement Scheme		3	3	0	0	
<b>Total</b>		<b>8,300</b>					
<b>Plan Year to Date £'000</b>	<b>Actual Year to Date £'000</b>	<b>Variance Year to Date £'000</b>	<b>% Achieved to Date</b>	<b>RAG Rating Year to Date</b>	<b>Forecast Outturn £'000</b>	<b>Forecast Variance £'000</b>	<b>RAG Rating Forecast</b>
2,676	1,539	(1,137)	58%		4,885	(3,415)	
<b>COMMENTS</b>							
<p><b>PLC-001</b> - Risk share agreed and reflected in contract. Schemes to support sustainability of risk share are being confirmed through JCCG and through refreshed and validated CCG plans across NEB and Solihull once confirmed, these supporting schemes will be monitored and reflected in recurrent baseline position in the mean time Activity is being closely monitored across the HEFT contract</p> <p><b>PLC-002 PLCV</b>- New project lead identified – to cover sick leave. JCCG clinical leads to be engaged in contract negotiations re PLCV at provider level as part of CI / contracting cycle 13/14. Status review to be initiated to access the impact and implementation of PLCV in line with agreed policies – by provider, in order to confirm level of compliance and most appropriate ongoing monitoring arrangements to assure delivery e.g. prior authorisation will be required where evidence of non compliance is identified. Commissioner to review trend data to identify new specialities where PLCV response could be safely applied.</p> <p><b>PLC-003 Nurse Led Clinics</b> - HEFT have implemented nurse responsible clinics, however they will not attract a lower tariff as procedures are undertaken which attract procedure code. UHB: Works has been slow around clinical redesign pathways – as some of the pathways do not envisage scope for nurse led clinics and others due to capacity within cluster.</p> <p><b>PLC-004 Demand Management</b>-BSC and Solihull demand management approach in place / progressing forward – BCCG Advice &amp; Guidance plus plans are currently being progressed</p> <p><b>PLC-005 Advice and Guidance</b> - Work underway to identify CCG clinical and management leads for project group. Work also underway to identify Acute clinical and management leads for project group. Service pathway baselines to be reviewed in September with development of generic process to track all A&amp;G Referrals to determine outcome of A&amp;G service scheduled for October 12. PLC-007 Direct Access Diagnostics - Work is still ongoing with Trust JCCGs to agree and sign off further SDIPs. This work is likely to impact on delivery timescales for those SDIPs not yet developed to support the required full scale savings</p> <p><b>PLC-008 Ophthalmology</b> - On track to achieve 80% take up of eligible Ophthalmologists. Data from claims available (from Aug 12) - forecast to deliver projected level of activity deflection. Confirmation required of performance and benefit realisation monitoring and identification of in year cost pressure for scheme within HEFT economy – due to savings already being accounted for within the risk share.</p>							

<b>MEDICINES MANAGEMENT</b>							
<b>Project No</b>	<b>Project Title</b>	<b>Savings Plan £'000</b>	<b>Development</b>	<b>Implementation</b>	<b>Outcomes / Benefits SAVINGS</b>	<b>Outcomes / benefits QUALITY IMPROVEMENTS</b>	
MED-001	Better use of nutritional feeds	81	3	0	0	0	
MED-002	Prescribing support for CCGs	3,000	3	3	3	3	
MED-003	Review of high cost non-PBR drugs outside NICE guidance	150	4	2	2	2	
MED-004	Improve blood glucose test monitoring	501	4	3	2	2	
MED-005	B'ham Children's Hospital Specials		4	3	2	2	
<b>Total</b>		<b>3,732</b>					
<b>Plan Year to Date £'000</b>	<b>Actual Year to Date £'000</b>	<b>Variance Year to Date £'000</b>	<b>% Achieved to Date</b>	<b>RAG Rating Year to Date</b>	<b>Forecast Outturn £'000</b>	<b>Forecast Variance £'000</b>	<b>RAG Rating Forecast</b>
1,240	1,240	0	100%		3,732	0	
<b>COMMENTS</b>							
MED-001 & MED-002 are on plan. MED-003 / MED-004 and MED-005 have now experienced delays against milestones which may potentially impact against the level of savings made. These will be reviewed and reported next month or when additional data is available to confirm year end delivery status. It is still projected that as an overall programme it will deliver its full savings target.							

<b>MENTAL HEALTH</b>							
<b>Project No</b>	<b>Project Title</b>	<b>Savings Plan £'000</b>	<b>Development</b>	<b>Implementation</b>	<b>Outcomes / Benefits SAVINGS</b>	<b>Outcomes / benefits QUALITY IMPROVEMENTS</b>	
MEN-001	MH Five key areas of redesign	1,000	3	3	4	3	
MEN-002	Commission a new city wide day service	500	4	3	3	3	
MEN-003	Learning and work services	150	4	3	3	3	
MEN-004	Decommission STR service	14	4	3	3	3	
MEN-005	RAID	1,336	4	3	3	3	
MEN-006	BCHC LD Five Key Areas of Redesign	1,200	3	3	3	3	
<b>Total</b>		<b>4,200</b>					
<b>Plan Year to Date £'000</b>	<b>Actual Year to Date £'000</b>	<b>Variance Year to Date £'000</b>	<b>% Achieved to Date</b>	<b>RAG Rating Year to Date</b>	<b>Forecast Outturn £'000</b>	<b>Forecast Variance £'000</b>	<b>RAG Rating Forecast</b>
1,400	769	(631)	55%		4,097	(103)	
<b>COMMENTS</b>							

**MEN-001 - 5 Key Areas of Redesign** - Redesign programme on plan across all strands (Primary Care CMHT, Memory Clinics, NAIPS); savings delivered as contract adjustment; NAIPS issue has an agreed plan to resolve (re NAIPS activity overperformance – only element of the contract not on block under investigation via route cause analysis to report in August) - Possible merger of CMHT and primary care workstreams. Dementia work remains subject to Frailty Board decisions.

**MEN-002 City Wide Day Service** - Service has taken over existing services and is developing new hubs. First hub now open, second planned for November, on track.

**MEN-003 Learning and Work Service**- Development stage complete. Service implementation starts 1/9/2012, with contract sign-off on 13/9/2012. Outcomes/benefits savings begin as of 1/9/2012

**MEN-004 Decommission STR Service** - Decommissioning and service exit continuing on plan for September close down.

**MEN-005 RAID**- Pilot in place across all Birmingham acute hospitals. First evaluation report due in September 2012

**MEN-006 BCHC Learning Disabilities** - Deadline moved from 31/8/12 to 31/10/12, is on track to meet this.

MATERNITY & CHILDREN							
Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
MAT-001	BCH Contract Adjustments	250	2	2	2	2	
MAT-002	Demand Management	750	3	2	0	0	
MAT-003	PROJECT CLOSED - BCH portal extension (included in MAT-002)	-					
MAT-004	Tier 4 Home Treatment	1,123	2	2	2	0	
<b>Total</b>		<b>2,123</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
167	0	(167)	0%		1,323	(800)	
COMMENTS							
<p><b>MAT-001</b> Provider QIPP plan to be confirmed at next contract and performance review meeting with provider to assure delivery of target savings.</p> <p><b>MAT-002 Demand Management</b> - implementation delayed but recoverable. Commissioner took a paper to CCN to discuss agreed approach across CCG's to support demand management for secondary care paediatrics and increased usage of BCH Advice and Guidance via the BCH portal. Action plan agreed which includes targeted engagement with practices with higher paediatric referral rates identified from data already available and analysed. Identification of specialities with an upward demand trend – and engage with Children and Maternity leads through to agree appropriate responses to try and arrest upward demand where appropriate. Explore potential for a cluster wide children's JCCG to facilitate commissioner provider collaboration following the response to the paediatric review work with BCH through contracting round to negotiate price of Advice and Guidance and evidence value i.e. – that Advice and Guidance from BCH portal is resulting in reduced secondary care referrals; ready for contract round negotiations.</p>							

CONTINUING HEALTH CARE							
Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
CHC-001	Increase number of contracted nursing homes in South Birmingham	150	3	0	0	0	
CHC-002	Home care provider procurement pilot	400	4	3	3	0	
CHC-003	Reduce complex care activity with BCHC	460	4	4	4	0	
CHC-004	Review high cost care packages	694	4	2	2	0	
CHC-005	Extended access service implemented in acute services	500	1	1	0	0	
CHC-006	Short and long term rehabilitation for acquired brain injury	150	0	0	0	0	
CHC-009	CHC ratification pathway	650	2	2	2	0	
CHC-010	Review of equipment	65	0	0	0	0	
CHC-011	PROJECT CLOSED - Removal of outstanding reviews (included within CHC-009)	500					
CHC-013	Avoidance of growth - schemes to be identified	2,931	0	0	0	0	
<b>Total</b>		<b>6,500</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
2,167	1,852	(315)	85%		6,498	(2)	
COMMENTS							
<p><b>CHC 001 Contracted Nursing Beds South B'ham</b>- A formal provider accreditation process, following the same model used by Warwickshire PCT is in progress. It should still be possible to work to the original timescale with a contract start date of October 2012. We are in receipt of bids and are currently undertaking the accreditation/award process</p> <p><b>CHC002 Home Care Procurement Pilot</b>- Work required to measure benefits. Initial work confirms greater use of private providers at lower cost than existing NHS provider organisation</p> <p><b>CHC 003 Reduce Complex Care Activity BCHC</b> - Achieved through contracts - benefits realisation to be confirmed. Savings taken out of contract - therefore delivered</p> <p><b>CHC004 High Cost Care Packages</b> - Additional project management capacity allocation to project. Pressure being applied to agency to produce evaluation reports and move to negotiation.</p> <p><b>CHC-005 Extended access service implemented in acute services</b> - Guidance close to completion, but further work required on patient information. Some patients are already being sign-posted to the extended assessment beds, however, there is not enough throughput to achieve target at this stage. Mitigation action being progressed and is linked to launch of referral criteria promotion of revised pathways and assessment criteria – This will be addressed when capacity is resolved WEP panel application submitted</p> <p><b>CHC-009 CHC ratification pathway</b>- Additional administrative staff introduced to team on temporary basis to enable senior staff to focus on project work. Admin staff now trained and 1:15 planned with senior staff to reprofile workload</p>							

END OF LIFE							
Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
EOL-001	Map of Med pathway, DNAR policy, agree clinical thresholds and protocols	885	1	0	0	0	
<b>Total</b>		<b>885</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
295	0	(295)	0%		0	(885)	
COMMENTS							
Clarification still required about the redistribution of savings target of £885k across the existing QIPP Programme or identification of new schemes from the Frail Elderly portfolio that will deliver the savings previously assigned to the end of life account. CCG plans for end of life also need to be confirmed.							

PRIMARY CARE							
Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
PRC-001	Closer scrutiny of Ophthalmic Claims	100	3	3	1	2	
PRC-002	Improved contract management in GDS contracts to eliminate repeat treatment claims	300	3	3	0	0	
PRC-003	Management of discretionary payments	100	0	0	0	0	
<b>Total</b>		<b>500</b>					

Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
152	152	0	100%		500	0	
<b>COMMENTS</b>							
Overall programme set to deliver to target. However, the financial contribution from each project needs to be reprofiled, as the shortfall in the assumptions for the Optometry initiative will be supported by the other schemes. Project delivery milestones assumed to be on track. (Project updates only received for PRC-002)							

BUSINESS EFFICIENCIES							
Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
BEF-001	Management Costs - further reduction in 12/13	5,000	3	3	1	0	
BEF-002	Specialised Services	3,436	4	4	3	3	
	Unidentified Gap	16,019	1	1	0	0	
<b>Total</b>		<b>24,455</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
8,154	1,148	(7,006)	14%		3,437	(21,018)	
<b>COMMENTS</b>							
BEF-001 Management Costs:- Birmingham, Black Country and Solihull HR have now identified a number of HR work streams in connection with the HR Transition work that needs to be carried out. Two of the work streams have a link into business efficiencies operating costs, as they cover fixed term/bank and MARs, although the focus is around managing the processes and the emphasis on the savings is further down, as this needs to be managed from a HR perspective as a matter of urgency. However, the work will tease financial savings that are likely to be achieved, although it is uncertain at this stage how much this will contribute to the £5m that has been allocated to the Business Efficiencies Operating Costs reduction. The work has been scoped out and documented within local HR project plans and some of the initial investigative work has commenced with deadlines associated to them. This currently has not been linked into the PMO work stream and further discussion around this needs to take place, bearing in mind the huge HR transition agenda that they are working to. (No change for Aug)							

CCG NEW INITIATIVES							
Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
BSC-002	B'Ham South Central CCG Primary Care Demand Management Scheme (Additional £534k savings. Also supports delivery of £588k existing system wide demand management savings target)		4	4	3	3	
SOL-001	Solihull CCG Stable Prostate Cancer Outpatients Reviews in Primary Care Project value = 49k PYE from October - 80-90k FYE potential scale up if NEB and BCCG collaborate across HEFT economy. (Savings to be confirmed - additional or supports risk share / existing cluster planned care targets)		3	2	3	3	
<b>Total</b>		<b>-</b>					
Plan Year to Date £'000	Actual Year to Date £'000	Variance Year to Date £'000	% Achieved to Date	RAG Rating Year to Date	Forecast Outturn £'000	Forecast Variance £'000	RAG Rating Forecast
0	106	106			534	534	
<b>COMMENTS</b>							
Two CCG Business cases have been approved through the Gateway Process where the savings will be realised during 12/13. BSC-002 Plans have now been received, signed off and implemented by 45/47 BSC practices (the remaining 2 are signing up but slightly delayed due to annual leave etc over the summer period). BI have now set-up a dashboard which reports monthly progress against the targets at a practice/network/CCG level. The BSC Network leads will commence the quality review visits in October. Initial data shows that 1st GP OP attendances are reducing within BSC. SOL-001 - savings are planned to start realisation from Oct 12 - final savings to be confirmed (i.e. contribution towards the HEFT risk share or as additional savings for the CCG) Focus for the project will initially be on role out across Solihull - discussions initiated with other CCG's re expansion across HEFT, however issues need to be resolved re existing pathways and primary care arrangements in place within other CCG localities before the scheme can be scaled up.							

	Development Phase			Implementation Phase			Outcomes / Benefits - Savings			Outcomes / Benefits - Quality		
	Use	Plan	Change	Use	Plan	Change	Use	Plan	Change	Use	Plan	Change
Not yet started	5	4	↔	11	10	↔	11	10	↔	30	30	↔
Off plan intervention required	3	3	↔	4	3	↔	4	4	↔	0	0	↔
Off plan but recoverable	9	9	↔	12	15	↔	11	13	↔	4	6	↔
On plan	15	17	↔	17	17	↔	11	13	↔	12	15	↔
Stage completed	19	19	↔	6	6	↔	2	2	↔	0	0	↔
Status to be confirmed	1	0	↓	2	0	↓	2	0	↓	3	0	↓
TOTAL	59	59	↔	59	59	↔	59	59	↔	59	59	↔

The table above compares the July reported status position for each phase of project delivery against the August 12 status. A summary of the current status is:

**Development Phase:** There are 2 further projects now 'on plan' compared to last month, no change in the number of projects completing this phase, or those where they are 'off plan intervention req'd', with a reduction by 1 project in the number of 'off plan but recoverable'

**Implementation Phase:** The number of projects 'on plan' compared to last month has remained the same, 3 additional projects have moved to 'off plan but recoverable', and 1 project has moved out of the 'not yet started' stage. The number of projects at 'off plan intervention req'd' has reduced by 1

**Outcomes/Benefits - Savings:** 2 additional projects are now 'on plan', 2 additional projects have moved to 'off plan but recoverable', no change in the number of projects in 'off plan intervention required' and 3 projects have moved out of the 'not yet started' stage

**Outcomes/Benefits - Quality:** 3 projects have moved out of the 'not yet started' stage, but there are 2 additional projects moving to 'off plan but recoverable'

CCG NEW INITIATIVES - 2013/14							
Project No	Project Title	Savings Plan £'000	Development	Implementation	Outcomes / Benefits SAVINGS	Outcomes / benefits QUALITY IMPROVEMENTS	
BSC-001	Gynaecology and Direct Access Ultrasound Total Net Savings = £308k £193k additional savings £115k against new to review ratios		3	0	0	0	
<b>Total</b>		<b>-</b>					
<b>COMMENTS</b>							
The procurement process has commenced. A prior information notice (PIN) has been issued and the most appropriate procurement route is now being determined by the Project Board. The tender documentation is currently under development and on track. Savings will deliver with effect from April 2013.							

## 18 Weeks RTT Performance - Commissioner Breakdown

## Appendix 12

Jun 2012

### Admitted

Code	Organisation Name	Trust Median	DH Median	Trust 95th percentile	DH 95th percentile	% seen within 18 weeks	DH Threshold
5PG	Birmingham East And North PCT	11.1	11.1	20.6	23.0	93.5%	90%
5MX	Heart Of Birmingham Teaching PCT	8.5	11.1	20.5	23.0	92.9%	90%
5PF	Sandwell PCT	6.4	11.1	19.3	23.0	94.0%	90%
5QW	Solihull Care Trust	11.2	11.1	20.7	23.0	93.6%	90%
5M1	South Birmingham PCT	8.5	11.1	19.3	23.0	94.0%	90%

### Non-Admitted

Code	Organisation Name	Trust Median	DH Median	Trust 95th percentile	DH 95th percentile	% seen within 18 weeks	DH Threshold
5PG	Birmingham East And North PCT	4.5	6.6	14.8	18.3	97.6%	95%
5MX	Heart Of Birmingham Teaching PCT	3.2	6.6	14.1	18.3	97.9%	95%
5PF	Sandwell PCT	4.4	6.6	13.6	18.3	98.0%	95%
5QW	Solihull Care Trust	4.7	6.6	15.1	18.3	97.3%	95%
5M1	South Birmingham PCT	3.9	6.6	15.3	18.3	98.4%	95%

### Incomplete Pathways

Code	Organisation Name	Trust Median	DH Median	Trust 95th percentile	DH 95th percentile	% seen within 18 weeks	DH Threshold
5PG	Birmingham East And North PCT	4.7	7.2	16.7	28.0	96.40%	92%
5MX	Heart Of Birmingham Teaching PCT	5.1	7.2	16.3	28.0	97.00%	92%
5PF	Sandwell PCT	4.7	7.2	16.1	28.0	97.00%	92%
5QW	Solihull Care Trust	4.7	7.2	16.8	28.0	96.50%	92%
5M1	South Birmingham PCT	5.8	7.2	17.1	28.0	96.30%	92%